



# Chiral bifunctional sulfide-catalyzed enantioselective bromolactonizations of $\alpha$ - and $\beta$ -substituted 5-hexenoic acids

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## Full Research Paper

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## Abstract

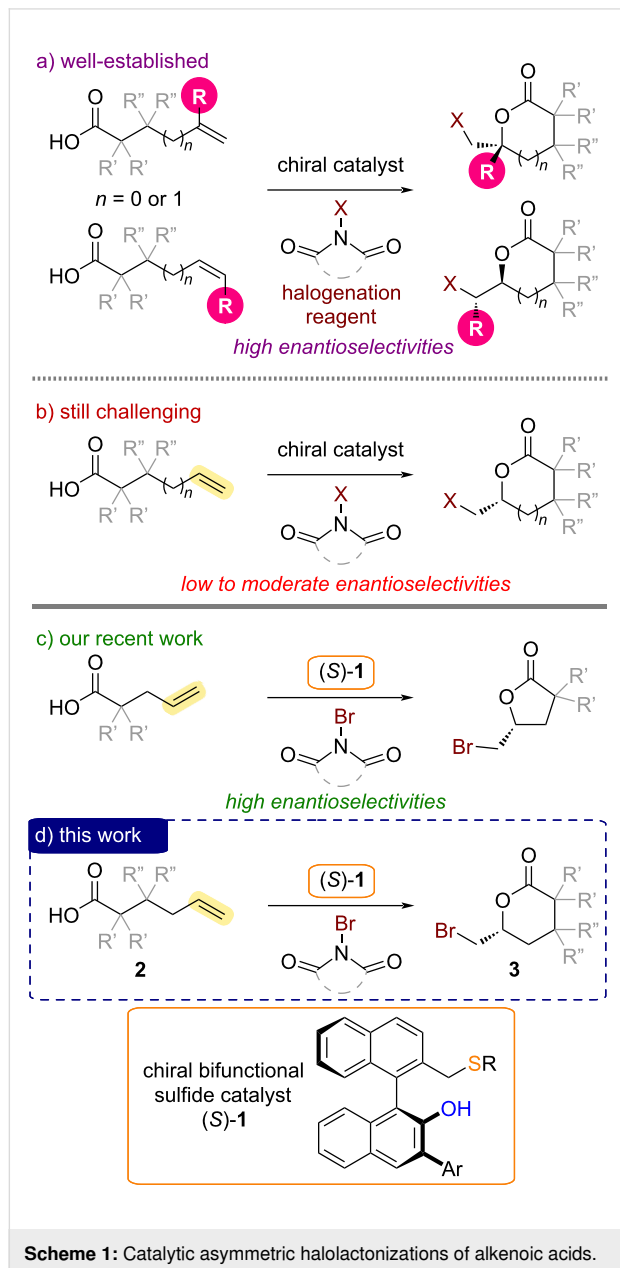
Enantioselective halolactonizations of sterically less hindered alkenoic acid substrates without substituents on the carbon–carbon double bond have remained a formidable challenge. To address this limitation, we report herein the asymmetric bromolactonization of 5-hexenoic acid derivatives catalyzed by a BINOL-derived chiral bifunctional sulfide.

## Introduction

Catalytic asymmetric halolactonizations of alkenoic acids are powerful methods for the preparation of important chiral lactones in enantioenriched forms [1–11]. A wide variety of chiral catalysts have been applied to asymmetric halolactonizations, especially for the synthesis of chiral  $\gamma$ -butyrolactones and  $\delta$ -valerolactones via the reaction of 4-pentenoic acid and 5-hexenoic acid derivatives (Scheme 1). Notably, however, substituents on the carbon–carbon double bond of alkenoic acid substrates are generally required to achieve highly enantioselective halolactonizations (Scheme 1a) [1–22]. Enantioselective halolactonizations of sterically less hindered alkenoic acid substrates without substituents on the carbon–carbon double bond have remained a formidable challenge in the field of catalytic

asymmetric synthesis (Scheme 1b) [23–25]. To address this limitation, we have investigated the use of BINOL-derived chiral bifunctional sulfide catalysts, which were developed by our group [10], in asymmetric bromolactonizations of  $\alpha$ -substituted 4-pentenoic acids without additional substituents on the carbon–carbon double bond (Scheme 1c) [26,27]. Chiral  $\alpha$ -substituted  $\gamma$ -butyrolactone products as important building blocks for pharmaceutical development were obtained in a highly enantioselective manner in our catalytic system using bifunctional sulfide (*S*)-**1** [26–31]. To further demonstrate the utility of our chiral bifunctional sulfide catalysts in challenging halolactonizations, we next turned our attention to the asymmetric bromolactonizations of 5-hexenoic acid derivatives **2** for

the synthesis of optically active  $\delta$ -valerolactones **3** (Scheme 1d). Herein, we report our additional efforts to overcome limitations in catalytic asymmetric halolactonizations.



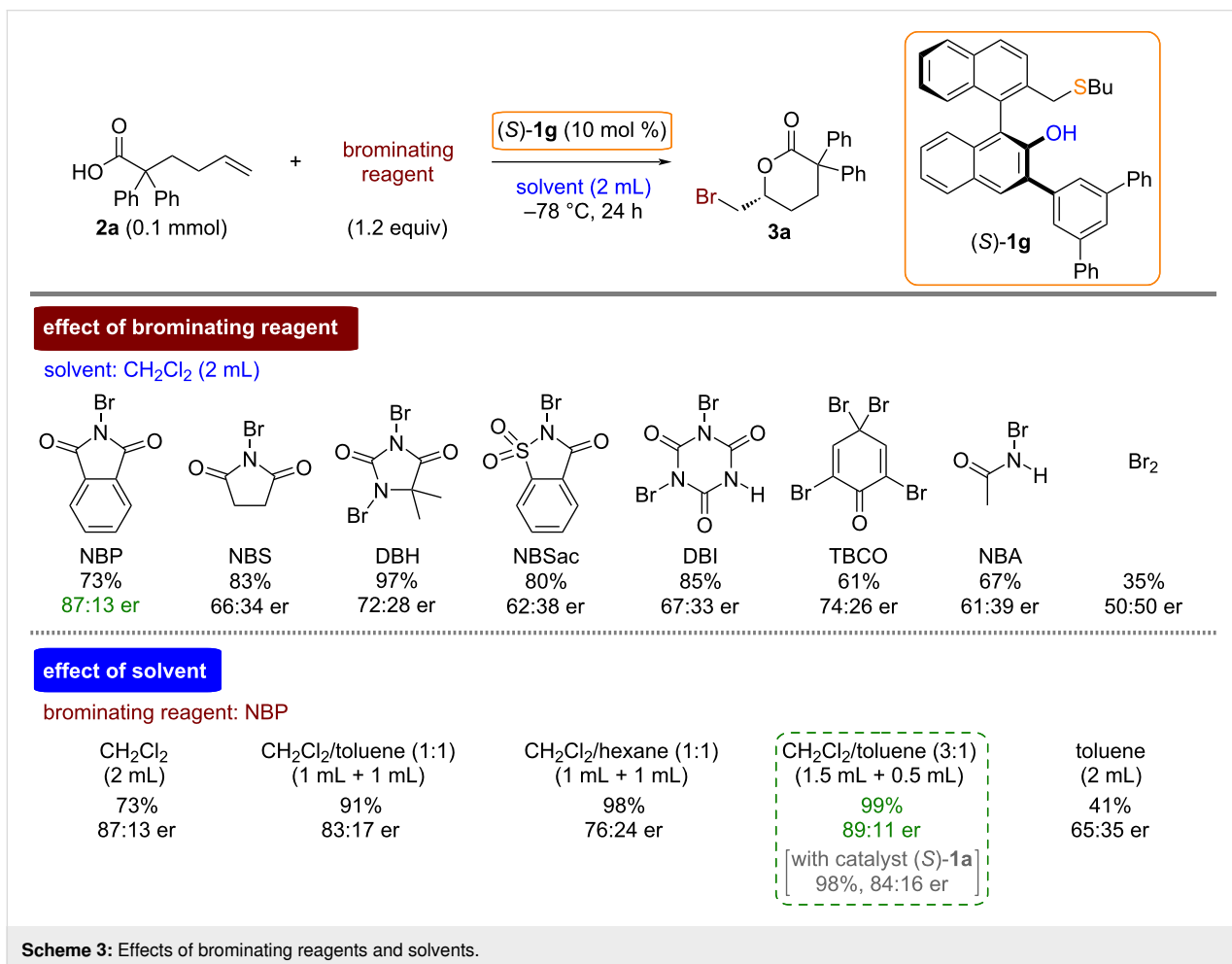
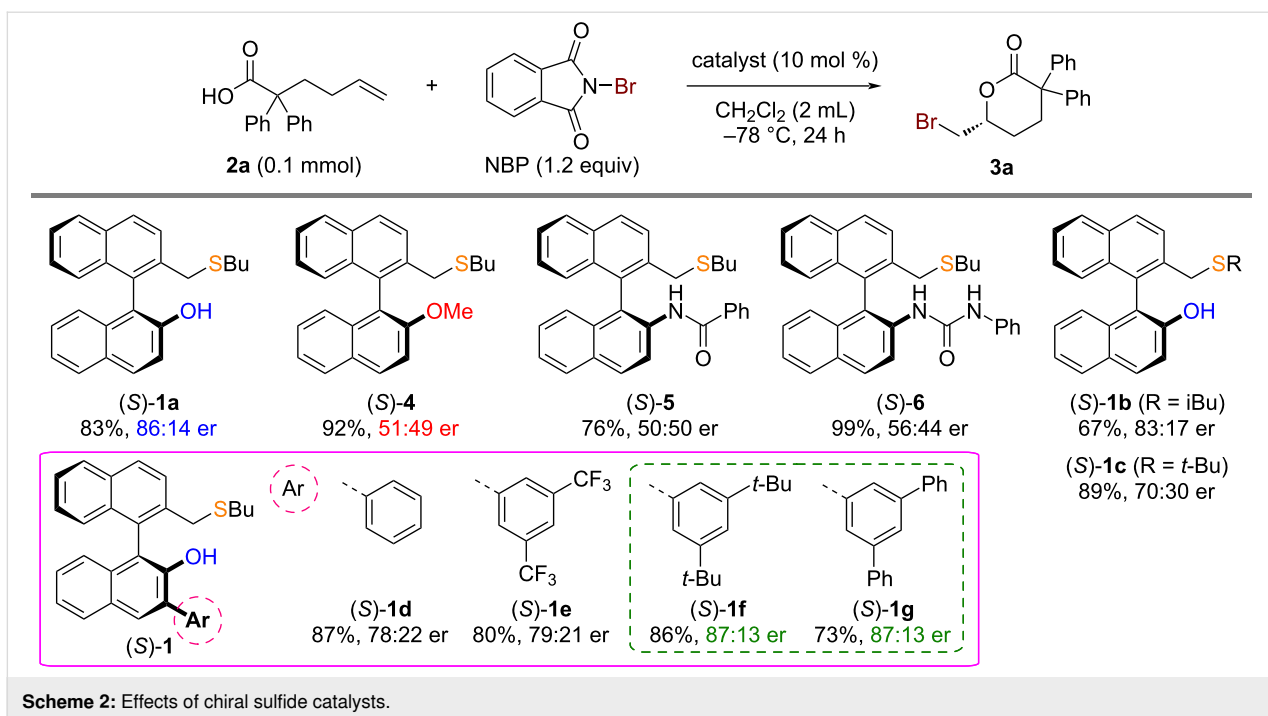
## Results and Discussion

$\alpha,\alpha$ -Diphenyl-5-hexenoic acid (**2a**) was selected as a model substrate to evaluate the performance of our BINOL-derived chiral bifunctional sulfide catalysts in the asymmetric bromolactonization of 5-hexenoic acid derivatives without additional substituents on the carbon-carbon double bond (Scheme 2). The catalytic asymmetric bromolactonization of model substrate **2a** with *N*-bromophthalimide (NBP) was conducted at  $-78$  °C for 24 hours using chiral bifunctional sulfide (S)-**1a** (10 mol %)

bearing a hydroxy group. This reaction yielded the desired  $\delta$ -valerolactone product **3a** with good yield and enantioselectivity [83% yield, 86:14 enantiomeric ratio (er)]. We further tested the reaction of **2a** with a hydroxy-protected sulfide catalyst (S)-**4** under the same conditions to evaluate the importance of the bifunctional design of the hydroxy-type chiral sulfide catalyst (S)-**1a**. As expected, the use of the hydroxy-protected catalyst (S)-**4** produced **3a** with significantly lower enantioselectivity (51:49 er). This outcome clearly underscores the crucial role of the bifunctional design in chiral sulfide catalysts (S)-**1** for enantioselective bromolactonizations of 5-hexenoic acid derivatives without additional substituents on the carbon-carbon double bond [26-31]. We also investigated the effects of other types of BINOL-derived chiral bifunctional sulfide catalysts. Asymmetric bromolactonizations of model substrate **2a** with amide- and urea-type chiral bifunctional sulfides (S)-**5** and **6**, known to be effective for other asymmetric halocyclizations [32-35], resulted in  $\delta$ -valerolactone product **3a** with low enantioselectivities (50:50 and 56:44 er, respectively). These findings led us to further optimize the hydroxy-type chiral sulfide catalysts of type (S)-**1**. Substituting an alkyl group on sulfur of catalyst (S)-**1** with isobutyl and *tert*-butyl [(S)-**1b** and **1c**, respectively] decreased enantioselectivity compared with the *n*-butyl group-substituted catalyst [(S)-**1a**]. Next, the effects of aryl substituents at the 3-position of a binaphthyl unit on the hydroxy-type chiral sulfide catalysts [(S)-**1d-g**] were investigated. Fortunately, the attachments of 3,5-*tert*-butylphenyl and 3,5-diphenylphenyl groups [(S)-**1f** and **1g**, respectively] slightly improve the enantioselectivity (87:13 er).

We next examined the effects of brominating reagents in the asymmetric bromolactonization of **2a** under the influence of chiral bifunctional sulfide catalyst (S)-**1g** in dichloromethane (Scheme 3). Among the examined brominating reagents, NBP provided higher enantioselectivity for the bromolactonization product **3a**. It should be noted that the asymmetric reaction using bromine (Br<sub>2</sub>) as a brominating reagent gave product **3a** in a racemic form. Additionally, iodolactonization of **2a** using *N*-iodosuccinimide in the presence of catalyst (S)-**1g** was performed. The reaction in dichloromethane, however, provided the corresponding iodolactonization product in racemic form with a good yield (80% yield, 50:50 er) [36].

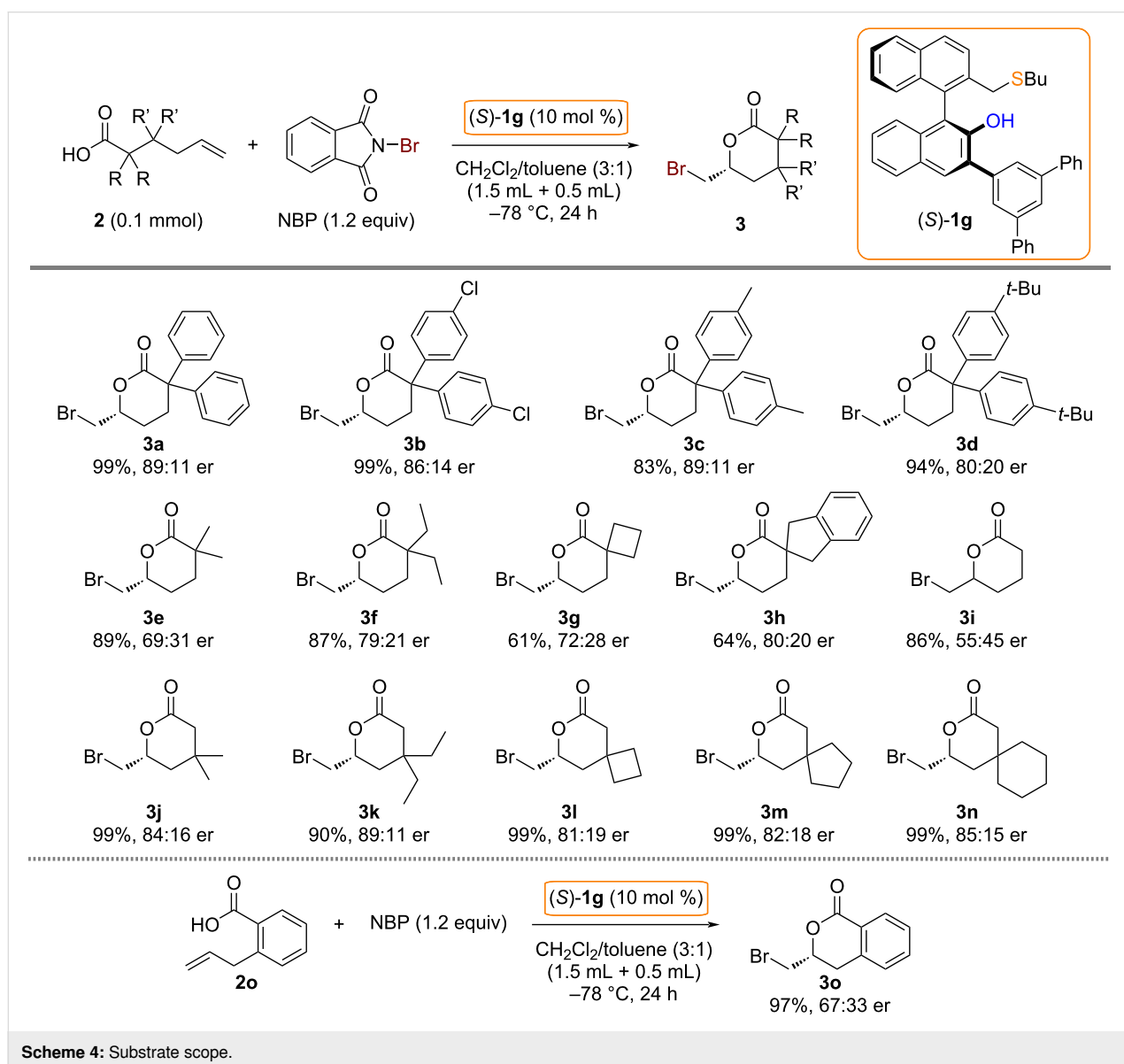
To improve the enantioselectivity in the asymmetric bromolactonization of **2a** using NBP and catalyst (S)-**1g**, the optimization of reaction solvents was also performed. Based on our recent studies of chiral bifunctional sulfide-catalyzed bromolactonizations [26-31], mixed solvent systems were investigated. Among the examined solvent systems, a dichloromethane/toluene mixed solvent (3:1 ratio) showed the best enantioselectivity (89:11 er).



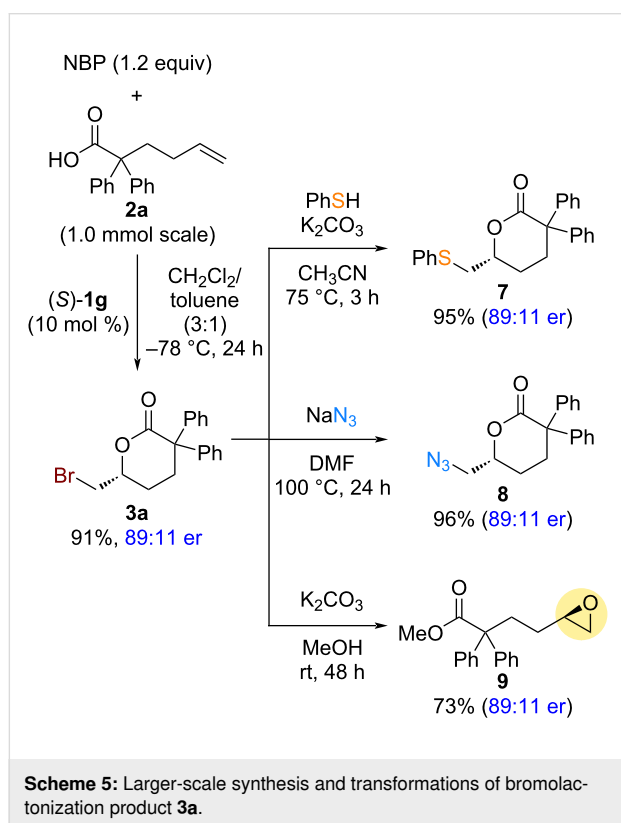
With the optimal catalyst (*S*)-**1g** and reaction conditions in hand, we investigated the generality of catalytic asymmetric bromolactonizations of 5-hexenoic acids **2** (Scheme 4). Asymmetric bromolactonizations with  $\alpha,\alpha$ -diaryl type 5-hexenoic acids **2a–d** provided  $\delta$ -valerolactone products **3a–d** in high yields with good levels of enantioselectivity. The reactions of  $\alpha,\alpha$ -dialkyl-5-hexenoic acids **2e** and **2f** gave the corresponding bromolactonization products **3e** and **3f** with moderate enantioselectivities. The present catalytic method could also be applied to the asymmetric synthesis of spiro-lactones [37–39]. For example,  $\alpha$ -spiro- $\delta$ -lactone products **3g** and **3h** were obtained with moderate to good levels of enantioselectivity. Unfortunately, the reaction with a simple 5-hexenoic acid **2i** gave a  $\delta$ -valerolactone **3i** in low enantioselectivity. To expand the substrate scope of chiral bifunctional sulfide-catalyzed asymmetric

bromolactonizations of 5-hexenoic acids **2**,  $\beta$ -substituted substrates **2j–n** were submitted to the present catalytic system. As a result of these asymmetric reactions,  $\beta,\beta$ -dialkyl- $\delta$ -valerolactones **3j–k** and  $\beta$ -spiro- $\delta$ -lactones **3l–n** were obtained in good levels of enantioselectivity. The reaction of 2-allylbenzoic acid **2o** as a related substrate was also examined to give a dihydroisocoumarin product **3o** in high yield with moderate enantioselectivity. The absolute stereochemistry of the bromolactonization product **3o** was confirmed by comparison with reported data [24].

The transformations of the optically active bromolactonization product **3a** were explored to demonstrate the broader applicability of the current synthetic method (Scheme 5). Asymmetric bromolactonization of  $\alpha,\alpha$ -diphenyl-5-hexenoic acid (**2a**), using



a chiral bifunctional sulfide catalyst (*S*)-**1g**, was scaled up to a 1.0 mmol scale to obtain the optically active bromolactonization product **3a** for further transformations. Comparable yield and enantioselectivity were observed relative to those of the smaller-scale reaction (0.1 mmol scale, Scheme 4). The bromomethyl group in **3a** readily undergoes nucleophilic substitution reactions, leading to the formation of optically active  $\delta$ -valerolactones **7** and **8**, which are functionalized with sulfur and nitrogen, in high yields. Additionally, optically active  $\delta$ -valerolactone **3a** was converted to optically active epoxy-ester **9** upon treatment with potassium carbonate in methanol. Notably, the transformed products were obtained without any loss of optical purity.



## Conclusion

In summary, our BINOL-derived chiral bifunctional sulfide-catalyzed enantioselective halocyclization technology was successfully applied to the catalytic asymmetric bromolactonization of  $\alpha$ - and  $\beta$ -substituted 5-hexenoic acids. The target optically active  $\delta$ -valerolactone products were obtained in moderate to good levels of enantioselectivity. The utility of the prepared optically active bromolactonization products was demonstrated in the transformations to functionalized  $\delta$ -valerolactones and epoxy-esters. These transformations proceeded with no loss of optical purity. This report provides a valuable example of catalytic enantioselective halolactonization of 5-hexenoic acid de-

rivatives without extra substituents on the carbon–carbon double bond.

## Supporting Information

### Supporting Information File 1

Experimental procedures, characterization data, copies of NMR spectra, and copies of HPLC charts.

[<https://www.beilstein-journals.org/bjoc/content/supplementary/1860-5397-20-158-S1.pdf>]

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## Author Contributions

Sao Sumida: data curation; formal analysis; investigation; writing – review & editing. Ken Okuno: investigation; writing – review & editing. Taiki Mori: investigation; writing – review & editing. Yasuaki Furuya: investigation; writing – review & editing. Seiji Shirakawa: conceptualization; data curation; formal analysis; funding acquisition; investigation; methodology; project administration; resources; supervision; validation; visualization; writing – original draft.

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## Data Availability Statement

All data that supports the findings of this study is available in the published article and/or the supporting information to this article.

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