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1 **Superconductor-insulator transition in capacitively coupled supercon-** 2 **ducting nanowires**

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11 **Abstract**

12 We investigate superconductor-insulator quantum phase transitions in ultrathin capacitively cou-
13 pled superconducting nanowires with proliferating quantum phase slips. We derive a set of coupled
14 Berezinskii-Kosterlitz-Thouless-like renormalization group equations demonstrating that interac-
15 tion between quantum phase slips in one of the wires gets modified due to the effect of plasma
16 modes propagating in another wire. As a result, the superconductor-insulator phase transition in
17 each of the wires is controlled not only by its own parameters but also by those of the neighbor-
18 ing wire as well as by mutual capacitance. We argue that superconducting nanowires with properly
19 chosen parameters may turn insulating once they are brought sufficiently close to each other.

20 **Keywords**

21 quantum phase transitions; RG equations; quantum phase slips

22 Introduction

23 Quantum fluctuations dominate the physics of superconducting nanowires at sufficiently low tem-
24 peratures making their behavior markedly different from that of bulk superconductors [1-4]. Many
25 interesting properties of such nanowires are attributed to the effect of quantum phase slips (QPS)
26 which correspond to fluctuation-induced local temporal suppression of the superconducting order
27 parameter inside the wire accompanied by the phase slippage process and quantum fluctuations
28 of the voltage in the form of pulses. By applying a bias current one breaks the symmetry between
29 positive and negative voltage pulses and, as a result, a superconducting nanowire acquires a non-
30 vanishing electric resistance down to lowest temperatures [5,6]. This effect was directly observed
31 in a number of experiments [7-10].

32 Likewise, quantum phase slips in superconducting nanowires yield shot noise of the voltage [11]
33 which originates from the process of quantum tunneling of magnetic flux quanta across the wire.
34 One can also proceed beyond the voltage-voltage correlator and evaluate all cumulants of the volt-
35 age operator, thus deriving full counting statistics of quantum phase slips [12]. This theory enables
36 one to obtain a complete description of superconducting fluctuations in such nanowires. Interesting
37 QPS-related effects also occur in superconducting nanorings which can be employed, e.g., for pos-
38 sible realization of superconducting qubits [13]. Such effects were investigated theoretically [14]
39 and observed in a number of experiments [15,16].

40 Each quantum phase slip generates sound-like plasma modes [17] which propagate along the wire
41 and interact with other quantum phase slips. The exchange of such Mooij-Schön plasmons pro-
42 duces logarithmic in space-time interaction between different QPS which magnitude is controlled
43 by the wire diameter (cross section) [5]. For sufficiently thick wires this interaction is strong and
44 quantum phase slips are bound in close pairs. Accordingly, the (linear) resistance of such wires
45 tends to zero at $T \rightarrow 0$, thus demonstrating a superconducting-like behavior in this limit. On the
46 other hand, inter-QPS interaction in ultrathin wires is weak, quantum phase slips are unbound and
47 the superconducting phase fluctuates strongly along the wire. In this case the wire loses long scale
48 superconducting properties, its total resistance remains non-zero and even tends to increase with

49 decreasing temperature thus indicating an insulating behavior at $T \rightarrow 0$. At zero temperature the
 50 transition between these two types of behavior comes as a quantum phase transition (QPT) driven
 51 by the wire diameter [5]. Below we will also refer to this QPT as superconductor-insulator transi-
 52 tion (SIT).

53 In this work we are going to show that this SIT can be substantially modified in a system of ca-
 54 pactively coupled superconducting nanowires even without any direct electric contact between
 55 them. In our previous work [18] we already elucidated some non-local QPS-related effects in such
 56 nanowires which yield non-equilibrium voltage fluctuations in the system which exhibit a non-
 57 trivial dependence on frequency and bias current. Here we will demonstrate that quantum fluctu-
 58 ations in one of the two wires effectively "add up" to those of another one, thereby shifting QPT in
 59 each of the wires in a way to increase the parameter range for the insulating phase. Qualitatively
 60 the same effect is expected to occur in a single superconducting nanowire that has the form of a
 61 meander frequently used in experiments.

62 The model

63 We first consider the system of two long parallel to each other superconducting nanowires, as it is
 64 schematically shown in Figure 1a.

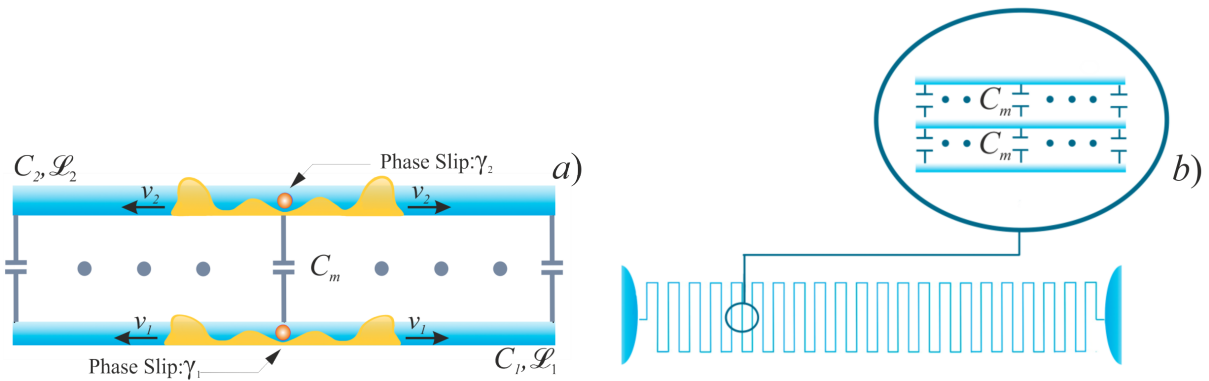


Figure 1: The systems under consideration: a) Two capacitively coupled superconducting nanowires and b) Superconducting nanowire in the form of a meander.

65 The wires are described by geometric capacitances C_1 and C_2 (per unit wire length) and kinetic in-

ductances \mathcal{L}_1 and \mathcal{L}_2 (times length) effectively representing the two transmission lines. Capacitive coupling between these two nanowires is accounted for by the mutual capacitance C_m . The corresponding contribution to the system Hamiltonian that keeps track of both electric and magnetic energies in these coupled transmission lines reads

$$\hat{H}_{TL} = \frac{1}{2} \sum_{i,j=1,2} \int dx (\mathcal{L}_{ij}^{-1} \hat{\Phi}_i(x) \hat{\Phi}_j(x) + (1/\Phi_0^2) C_{ij}^{-1} (\nabla \hat{\chi}_i(x) \nabla \hat{\chi}_j(x))), \quad (1)$$

where x is the coordinate along the wires, \mathcal{L}_{ij} and C_{ij} denote the matrix elements of the inductance and capacitance matrices

$$\check{\mathcal{L}} = \begin{bmatrix} \mathcal{L}_1 & 0 \\ 0 & \mathcal{L}_2 \end{bmatrix}, \quad \check{C} = \begin{bmatrix} C_1 & C_m \\ C_m & C_2 \end{bmatrix} \quad (2)$$

and $\Phi_0 = \pi/e$ is the superconducting flux quantum.

The Hamiltonian (1) is expressed in terms of the dual operators $\hat{\chi}(x)$ and $\hat{\Phi}(x)$ [14] which obey the canonical commutation relation

$$[\hat{\Phi}(x), \hat{\chi}(x')] = -i\Phi_0 \delta(x - x') \quad (3)$$

and are related to the charge density and the local phase operators, respectively $\hat{Q}(x)$ and $\hat{\varphi}(x)$, by means of the following equations

$$\hat{Q}(x) = \frac{1}{\Phi_0} \nabla \hat{\chi}(x), \quad \hat{\varphi} = 2e \int_0^x dx' \hat{\Phi}(x'). \quad (4)$$

Physically, $\hat{\Phi}_i(x)$ represents the magnetic flux operator, while the operator $\hat{\chi}_i(x)$ is proportional to that for the total charge $\hat{q}_i(x)$ that has passed through the point x of the i -th wire up to the some time moment t , i.e. $\hat{q}_i(x) = -\hat{\chi}_i(x)/\Phi_0$.

Provided the wires are thick enough the low energy Hamiltonian in Eq. (1) is sufficient. However,

85 for thinner wires one should also account for the effect of quantum phase slips. The corresponding
 86 contribution to the total Hamiltonian for our system can be expressed in the form [14]

$$87 \quad \hat{H}_{QPS} = - \sum_{j=1,2} \gamma_j \int dx \cos(\hat{\chi}_j(x)), \quad (5)$$

88 where

$$89 \quad \gamma_j \sim (g_{j\xi} \Delta / \xi) \exp(-a g_{j\xi}), \quad j = 1, 2 \quad (6)$$

90 denote the QPS amplitudes per unit wire length [6], $g_{j\xi} = R_q / R_{j\xi}$ is dimensionless conductance of
 91 the j -th wire segment of length equal to the superconducting coherence length ξ (here and below
 92 $R_q = 2\pi/e^2 \simeq 25.8 \text{ K}\Omega$ is the quantum resistance unit and $R_{j\xi}$ is the normal state resistance of the
 93 corresponding wire segment), Δ is the superconducting order parameter and $a \sim 1$ is a numerical
 94 prefactor. We also note that the Hamiltonian (5) describes tunneling of the magnetic flux quantum
 95 Φ_0 across the wire and can be viewed as a linear combination of creation ($e^{i\hat{\chi}_i}$) and annihilation
 96 ($e^{-i\hat{\chi}_i}$) operators for the flux quantum Φ_0 .

97 It is obvious from Eq. (4) that QPS events cause redistribution of charges inside the wire and gen-
 98 erate pairs of voltage pulses moving simultaneously in the opposite directions (cf., Figure 1a)

$$99 \quad \hat{V}_i(t) = 1/\Phi_0 \sum_{j=1,2} C_{ij}^{-1} (\nabla \hat{\chi}_j(x_1, t) - \nabla \hat{\chi}_j(x_2, t)). \quad (7)$$

100 Clearly, in the presence of capacitive coupling quantum phase slips in one of the wires also gener-
 101 ate voltage pulses in another one.

102 To summarize the above considerations, the total Hamiltonian for our system is defined as a sum of
 103 the two terms in Eqs. (1) and (5),

$$104 \quad \hat{H} = \hat{H}_{TL} + \hat{H}_{QPS}, \quad (8)$$

105 representing an effective sine-Gordon model that will be treated below.

106 **Quantum phase transitions: renormalization group analysis**

107 In order to quantitatively describe QPT in coupled superconducting wires we will employ the
108 renormalization group (RG) analysis. This approach is well developed and was successfully ap-
109 plied to a variety of problems in condensed matter theory, such as, e.g., the problem of weak
110 Coulomb blockade in tunnel [19-22] and non-tunnel [23-25] barriers between normal metals or
111 that of a dissipative phase transition in resistively shunted Josephson junctions [19,26-28]. In the
112 case of superconducting nanowires QPT was described [5] with the aid of RG equations equivalent
113 to those initially developed for two-dimensional superconducting films [29] which exhibit classical
114 Berezinskii-Kosterlitz-Thouless (BKT) phase transition driven by temperature. In contrast, quan-
115 tum SIT in quasi-one dimensional superconducting wires [5] with geometric capacitance C and
116 kinetic inductance \mathcal{L} is controlled by the parameter [5]

$$117 \quad \lambda = \frac{R_q}{8} \sqrt{\frac{C}{\mathcal{L}}} \quad (9)$$

118 proportional to the square root of the wire cross section s .

119 It follows immediately from the analysis of Ref. [5] that provided the two superconducting wires
120 depicted in Figure 1a are decoupled from each other, i.e. for $C_m \rightarrow 0$, one should expect two inde-
121 pendent QPT to occur in these two wires respectively at $\lambda_1 = 2$ and at $\lambda_2 = 2$ where, according to
122 Eq. (9), we define $\lambda_{1,2} = (R_q/8)\sqrt{C_{1,2}/\mathcal{L}_{1,2}}$. The task at hand is to investigate the effect of capaci-
123 tive coupling between the wires on these two QPT.

124 For this purpose let us express the grand partition function of our system $\mathcal{Z} = \text{Tr} \exp(-\hat{H}/T)$ in
125 terms of the path integral

$$126 \quad \mathcal{Z} = \int D\chi_1 \int D\chi_2 \exp(-S[\chi_1, \chi_2]), \quad (10)$$

127 where

$$128 \quad S = \frac{1}{2\Phi_0^2} \sum_{i,j=1,2} \int dx d\tau \left(\xi \Delta \mathcal{L}_{ij} \partial_\tau \chi_i \partial_\tau \chi_j + \frac{1}{\xi \Delta} C_{ij}^{-1} \partial_x \chi_i \partial_x \chi_j \right) - \sum_{i=1,2} y_i \int dx d\tau \cos \chi_i \quad (11)$$

129 is the effective action corresponding to the Hamiltonian (8) and

$$130 \quad y_i = \gamma_i \xi / \Delta \sim g_{j\xi} \exp(-ag_{j\xi}) \ll 1 \quad (12)$$

131 denote effective fugacity for the gas of quantum phase slips in the i -th wire. Note that, having in
 132 mind that the QPS core size in x - and τ -directions is respectively $x_0 \sim \xi$ and $\tau_0 \sim \Delta^{-1}$, in Eq. (11)
 133 for the sake of convenience we rescaled the spatial coordinate in units of x_0 , i.e. $x \rightarrow x\xi$ and the
 134 time coordinate in units of τ_0 , i.e. $\tau \rightarrow \tau/\Delta$.

135 In the spirit of Wilson's RG approach we routinely divide the χ -variables into fast and slow compo-
 136 nents $\chi_i = \chi_i^f + \chi_i^s$, where

$$137 \quad \chi_i^f(x, \tau) = \int_{\Lambda < \omega^2 + q^2 < \Lambda + \delta\Lambda} \frac{d\omega dq}{2\pi} \chi_{\omega, q} e^{i\omega\tau + iqx}, \quad \chi_i^s(x, \tau) = \int_{\omega^2 + q^2 < \Lambda} \frac{d\omega dq}{2\pi} \chi_{\omega, q} e^{i\omega\tau + iqx}. \quad (13)$$

138 Setting $\delta\Lambda/\Lambda \ll 1$, expanding in the fast field components χ_i^f and integrating them out we pro-
 139 ceed perturbatively in $y_{1,2}$ and observe that in order to account for the leading order corrections it is
 140 necessary to evaluate the matrix Green function at coincident points which reads

$$141 \quad \check{G}^f(0, 0) = \Phi_0^2 \int \frac{d\omega dq}{(2\pi)^2} \left(\xi \Delta \check{\mathcal{L}} \omega^2 + \frac{1}{\xi \Delta} \check{C}^{-1} q^2 \right)^{-1} = 2(\delta\Lambda/\Lambda) \check{\lambda}, \quad (14)$$

142 where $\check{\lambda} = (R_q/8) \check{\mathcal{V}} \check{C}$ and $\check{\mathcal{V}} = (\check{C} \check{\mathcal{L}})^{1/2}$ is the velocity matrix for plasmon modes propagating

143 along the wires. The matrix $\check{\lambda}$ has the form

$$144 \quad \check{\lambda} = \frac{1}{\sqrt{\frac{1}{v_1^2} + \frac{1}{v_2^2} + \frac{2\sqrt{1-\frac{C_m^2}{C_1C_2}}}{v_1v_2}}} \begin{bmatrix} \lambda_1 \left(\frac{1}{v_1} + \frac{\sqrt{1-\frac{C_m^2}{C_1C_2}}}{v_2} \right) & R_q C_m / 8 \\ R_q C_m / 8 & \lambda_2 \left(\frac{1}{v_2} + \frac{\sqrt{1-\frac{C_m^2}{C_1C_2}}}{v_1} \right) \end{bmatrix}, \quad (15)$$

145 where $v_i = 1/\sqrt{C_i \mathcal{L}_i}$ is the velocity of the Mooij-Schön modes in the i -th wire in the absence of
 146 capacitive coupling between the wires, i.e. for $C_m \rightarrow 0$.

147 Following the standard procedure [29] and proceeding to bigger and bigger scales Λ , we eventually
 148 arrive at the following RG equations for the QPS fugacities y_1 and y_2 :

$$149 \quad \frac{dy_i}{d \log \Lambda} = (2 - \lambda_{ii})y_i, \quad i = 1, 2, \quad (16)$$

150 where λ_{11} and λ_{22} are diagonal elements of the matrix $\check{\lambda}$ (15). Note that here we restrict our RG
 151 analysis to the lowest order in $y_{1,2}$ which is sufficient for our purposes. As long as one keeps only
 152 the linear in $y_{1,2}$ terms in the RG equations all other parameters of our problem, e.g., λ_{ii} , remain
 153 unrenormalized.

154 As it can be observed from Eqs. (16), our system exhibits two BKT-like QPT at $\lambda_{11} = 2$ and $\lambda_{22} =$
 155 2. In the limit $C_m \rightarrow 0$ the wires are independent from each other, $\lambda_{11(22)} \rightarrow \lambda_{1(2)}$ and these QPT
 156 obviously reduce to that predicted in Ref. [5]. However, for non-zero capacitive coupling between
 157 the wires the two QPT occur at the values of $\lambda_{1,2}$ exceeding 2. For the first wire the corresponding
 158 phase transition point is fixed by the condition

$$159 \quad \lambda_1 = 2 \frac{\sqrt{1 + \frac{v_1^2}{v_2^2} + 2\frac{v_1}{v_2}\sqrt{1 - \frac{C_m^2}{C_1C_2}}}}{1 + \frac{v_1}{v_2}\sqrt{1 - \frac{C_m^2}{C_1C_2}}}. \quad (17)$$

160 The same condition for the second wire is obtained from Eq. (17) by interchanging the indices $1 \leftrightarrow$
 161 2.

162 The above results allow to conclude that in the presence of capacitive coupling SIT in both wires
 163 occurs at larger values of $\lambda_{1,2}$ than in the absence of such coupling. In other words, quantum fluctu-
 164 ations in one of these wires effectively decrease superconducting properties of the other one.
 165 It follows from Eq. (17) that the magnitude of such mutual influence depends on the ratio of the
 166 plasmon velocities in the two wires v_1/v_2 and on the strength of the capacitive coupling controlled
 167 by C_m . Provided the wire cross sections s_1 and s_2 differ strongly the plasmon velocities $v_i \propto \sqrt{s_i}$
 168 also differ considerably. Assume, for instance, that the first wire is much thinner than the sec-
 169 ond one. In this limit we have $v_1 \ll v_2$ and, hence, the QPT condition (17) in the first wire re-
 170 mains almost unaffected for any capacitive coupling strength. If, on the contrary, the first wire
 171 is much thicker than the second one, then one has $v_1 \gg v_2$ and the condition (17) reduces to
 172 $\lambda_1 \simeq 2/\sqrt{1 - C_m^2/(C_1C_2)}$ demonstrating that the critical value λ_1 can exceed 2 considerably for
 173 sufficiently large values C_m .

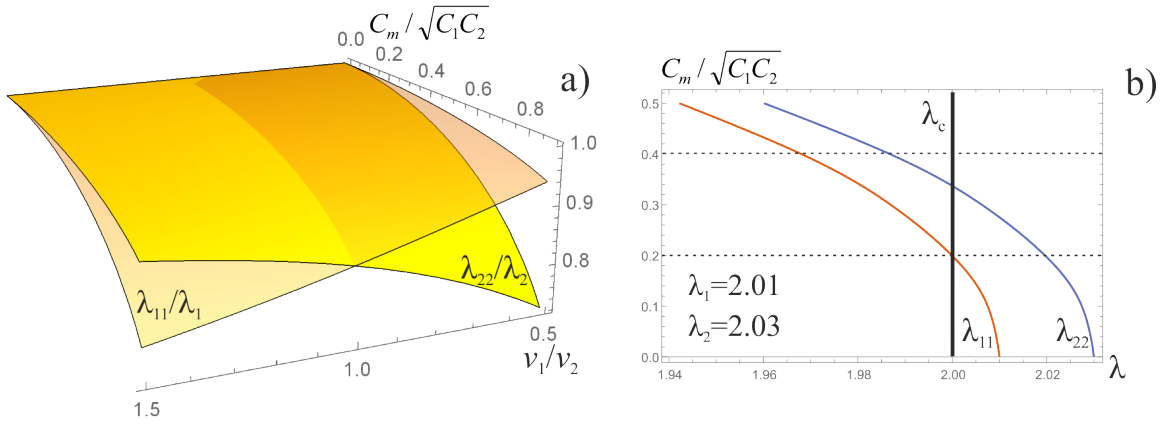


Figure 2: a) Critical surfaces corresponding to SIT at $\lambda_{11} = 2$ and $\lambda_{22}=2$. b) Phase diagram for two capacitively coupled superconducting nanowires with $\lambda_1 = 2.01$ and $\lambda_2 = 2.03$. Both curves $\lambda_{11}(C_m)$ and $\lambda_{22}(C_m)$ decrease and cross the critical line $\lambda_c = 2$ with increasing mutual capacitance C_m .

174 It is obvious that the strength of capacitive coupling depends on the distance between the wires. At
 175 large distances this coupling is negligible $C_m \rightarrow 0$, whereas as the wires get closer to each other
 176 the value C_m increases and, hence, their mutual influence increases as well. Let us choose the wire
 177 parameters in such a way that for $C_m = 0$ both these wires remain in the superconducting phase be-
 178 ing relatively close to SIT. In this case the parameters λ_1 and λ_2 should be just slightly bigger than

179 2. Moving the wires closer to each other we "turn on" capacitive coupling between them, thus, de-
 180 creasing both values λ_1 and λ_2 below 2. As a result, two superconducting wires become insulating
 181 as soon as they are brought sufficiently close to each other. This remarkable physical phenomenon
 182 is illustrated by the phase diagram in Figure 2b.

183 In order to complete this part of our analysis we point out that transport properties can be investi-
 184 gated in exactly the same manner as it was done, e.g., in Ref. [5] in the case of a single nanowire.
 185 Generalization of the technique [5] to the case of two capacitively coupled superconducting
 186 nanowires is straightforward. For a linear resistance of the i -th wire $R_i(T)$ and for $\lambda_{ii} > 2$ (or for
 187 any λ_{ii} at sufficiently high temperatures we obtain

$$188 \quad R_i(T) \propto \gamma_i^2 T^{2\lambda_{ii}-3}, \quad i = 1, 2. \quad (18)$$

189 **Extension to other geometries**

190 The effects discussed here can be observed in a variety of structures involving superconducting
 191 nanowires. For instance, superconducting nanowires in the form of a meander (see Figure 1b) are
 192 frequently employed in experiments. In this case different segments of the wire are parallel to each
 193 other being close enough to develop electromagnetic coupling. Having in mind the above analysis
 194 one expects that the wire of such a geometry would be "less superconducting" than the same wire
 195 that has the form of a straight line.

196 For an illustration, let us mimic the behavior of the wire depicted in Figure 1b by considering three
 197 identical parallel to each other capacitively coupled superconducting nanowires. For simplicity we
 198 will assume the nearest neighbor interaction, i.e. the second (central) nanowire is coupled to both
 199 the first and the third nanowires via the mutual capacitance C_m whereas the latter two are decou-
 200 pled from each other. We again assume that the wires are thin enough and quantum phase slips
 201 may proliferate in each of these wires.

202 Quantum properties of this system are described by the same effective action (11) where the induc-

203 tance and capacitance matrices now take the form

$$204 \quad \check{\mathcal{L}} = \begin{bmatrix} \mathcal{L} & 0 & 0 \\ 0 & \mathcal{L} & 0 \\ 0 & 0 & \mathcal{L} \end{bmatrix}, \quad \check{\mathcal{C}} = \begin{bmatrix} C & C_m & 0 \\ C_m & C & C_m \\ 0 & C_m & C \end{bmatrix}, \quad (19)$$

205 and the summation runs over the indices $i, j = 1, 2, 3$. Proceeding along the same lines as in the
 206 previous section we again arrive at Eq. (14), where the diagonal elements of the matrix $\check{\lambda}$ now read

$$207 \quad \lambda_{22} = \frac{\lambda}{2} \left(\sqrt{1 - \sqrt{2} \frac{C_m}{C}} + \sqrt{1 + \sqrt{2} \frac{C_m}{C}} \right), \quad (20)$$

$$208 \quad \lambda_{11} = \lambda_{33} = \frac{\lambda}{2} \left(1 + \frac{1}{2} \left(\sqrt{1 - \sqrt{2} \frac{C_m}{C}} + \sqrt{1 + \sqrt{2} \frac{C_m}{C}} \right) \right) \quad (21)$$

209 and the QPS interaction parameter λ is defined in Eq. (9). We again arrive at the RG equations of
 210 the form (16) (now with $i = 1, 2, 3$). Being combined with Eqs. (20), (21) these RG equations
 211 demonstrate that in the presence of capacitive coupling SET occur at $\lambda_{ii} = 2$ implying $\lambda > 2$ for
 212 each of the three wires. This observation is fully consistent with our previous results derived for
 213 two coupled nanowires.

214 Furthermore, the RG equation (16) with $i = 2$ combined with Eq. (20) also describes the effect of
 215 interacting quantum phase slips and QPT in the wire having the form of a meander (Figure 1b). In
 216 this case, within the approximation of the nearest neighbor capacitive interaction between the wire
 217 segments QPT occurs at

$$218 \quad \lambda = \frac{4}{\sqrt{1 - \sqrt{2} \frac{C_m}{C}} + \sqrt{1 + \sqrt{2} \frac{C_m}{C}}}, \quad (22)$$

219 i.e. the critical value of the parameter λ exceeds 2 as soon as the mutual capacitance C_m differs
 220 from zero. As it is clear from Eqs. (20), (21), the approximation of the nearest neighbor interac-
 221 tion appears to be well justified in the limit $C_m \ll C$. For stronger interactions with $C_m \sim C$ this
 222 approximation most likely becomes insufficient for a quantitative analysis. However, on a qualita-

223 tive level our key observations should hold also in this case: A nanowire in the form of a straight
224 line with λ slightly exceeding the critical value 2 should demonstrate superconducting-like behav-
225 ior with $R(T) \propto T^{2\lambda-3}$ [5] whereas the wire with exactly the same parameters may turn insulating
226 provided it has the form of a meander with capacitive coupling between its segments.

227 **Conclusions**

228 We have analyzed the effect of quantum fluctuations in capacitively coupled superconducting
229 nanowires. We have demonstrated that plasma modes propagating in one such nanowire play the
230 role of an effective quantum environment for another one modifying the logarithmic interaction be-
231 tween quantum phase slips in this wire. As a result, the superconductor-insulator quantum phase
232 transition gets shifted in a way to increase the parameter range for the insulating phase. Hence,
233 superconducting nanowires may turn insulating provided they are brought close enough to each
234 other. It would be interesting to observe this effect in forthcoming experiments with superconduct-
235 ing nanowires.

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