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# 1 **Atmospheric Water Harvesting using functionalized Carbon** 2 **Nanocones**

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## 9 **Abstract**

10 In this work we propose using molecular dynamic simulations a method to harvest liquid water  
11 from vapor using carbon nanocones. The condensation occurs due to the presence of hydrophilic  
12 sites at the nanocone entrance. The functionalization together with the high mobility of water in-  
13 side nanostructures leads to fast water flow through the nanostructure. We show that this device is  
14 able to collect water if the surface functionalization is properly selected.

## 15 **Keywords**

16 Atmospheric water harvesting; Nanocones; Hydrophobicity. Hydrophilicity; Nanotechnology

## 17 **Introduction**

18 Despite water being abundant in earth, there are at least four billion people suffering with water  
19 scarcity [1]. The lack of potable water results from a number of factors such as mono-culture, in-  
20 creasing deforestation and a growing population. [2-5].

21 In order to circumvent the problem of lack of fresh water scientists are developing alternative pro-  
22 cesses such as filtration of contaminated water [6], desalinization [7] and collecting water from

23 atmosphere [8]. The atmospheric water harvesting (AWH) is a interesting option to obtain fresh  
24 water, particularly in arid and semi-arid areas, where other sources of water are inaccessible and  
25 population have long been suffering with water scarcity [9]. There are different processes to de-  
26 velop an AWM, from the condensation and collection of moisture; cooling the air ambient below  
27 its dew point [10,11]; using chemical and physical process in the absorption/adsorption mecha-  
28 nism [9,12,13]. Many of these mechanisms are inspired by structures found in nature (biomimic  
29 designs), that uses hierarchical nano/microstructures to collect water, like the *Trifolium pratense*  
30 plant the *Cotula fallax* cactus and the the *Uloborus walckenaerius* spider [14-16].  
31 One mechanism developed by nature to capture liquid water from water vapor is present in the  
32 Namibian desert beetle, which collects water from morning steam in the desert [17]. The beetle has  
33 hydrophilic spots on its back which transform vapor into liquid water. For the collection to be ef-  
34 ficient, below the hydrophilic spots, its wings are hydrophobic and collect the captured water from  
35 hydrophilic to hydrophilic parts by gravity. The efficiency of this process led to the development  
36 of mimetic strategies [18-21] which use the combination of wetting and dewetting properties em-  
37 ployed by the beetle. The hydrophobic region as in the case of the Lotus is fundamental for the  
38 mobility of water.  
39 Water also presents other anomalous behavior in addition to the hydrophobicity described above.  
40 The density and diffusion coefficient increase as the density is increased was observed in experi-  
41 ments and simulations in bulk water [22-24]. Water presents both super flow and slowing down  
42 when confined in biological structures with the presence of hydrophobic and hydrophilic sites [25].  
43 Water confined in hydrophobic structures as carbon nanotubes with diameter below 2nm exhibits a  
44 fast flow that exceed values provided by classical hydrodynamics [26]. This super flow is observed  
45 because water are pushed away from the hydrophobic surface forming a single line of molecules  
46 which moves in a stressless matter.  
47 The water superflow in nanostructures has been explored in processes of separating water from  
48 salt or in separating it from other contaminants. This high mobility of water under nanoconfine-  
49 ment requires huge pressures and consequently high energies [27,28]. In order to help the water

50 entrance, and decrease the amount of required pressure, nanotubes are functionalized with hy-  
51 drophilic groups [29,30]. The addition of hydrophilic regions in small diameter, however, decreases  
52 the velocity of the water molecules [31].

53 The high flow of water in nano structures is also useful for capturing water from the atmosphere.  
54 Nanotubes with hydrophilic sites, to capture water from atmosphere, and hydrophobic regions, to  
55 move the molecules to reservoirs [32,33] has been analyzed. Regardless presenting reasonable  
56 results regarding the capacity of capturing water, the small diameter of the nanotube entrance re-  
57 quires high pressures for the water to enter, what makes the process energetically costly.

58 One geometry which combines large surface for capturing water, and a small radius for making the  
59 molecules to flow fast, is the nanocone. Carbon nanocones (or nanohorns) are conical structures  
60 that are made predominantly of carbon, typically 2 – 5nm in diameter and 40 – 50nm in length.  
61 They occur on the surface of natural graphite, void CNCs can be produced for example by decom-  
62 posing hydrocarbons with a plasma torch [34], and other simple techniques of production [35] and  
63 reduction [36] are also being recently developed. The CNCs are completely hydrophobic, but func-  
64 tionalization could be done to make some parts hydrophilic maintaining the other part hydropho-  
65 bic.

66 Therefore the study of the behavior of water inside nanocones is relevant due to structural advan-  
67 tages at nanoscale [37,38]. The flow of water in nanocones is higher than the mobility observed in  
68 nanotubes [39,40]. In the presence of ions, water flow through a charged nanocone under an elec-  
69 trical field [41,42] , and this flow is higher than the induced by pressure. Consequently the desali-  
70 nation performance observed in these carbon nanocones is better than the observed in nanotubes  
71 or nanometric monolayers as graphene and  $MoS_2$  [43,44]. Another advantage of the cone format,  
72 is the possibility of capturing more water at the larger diameter entrance, without loosing the high  
73 flow at the reduced diameter at the other parts of the cone.

74 Inspired by the beetle described above and the recent advantages found on the conical structure,  
75 for water harvesting, the introduction of hydrophilic groups at the nanocone entrance favors the  
76 condensation of water, while the hydrophobic sites at the smaller side of the cone generates a fast

77 flow. This combination of nanotube shape and functionalization is key for making a device able to  
78 capture water.

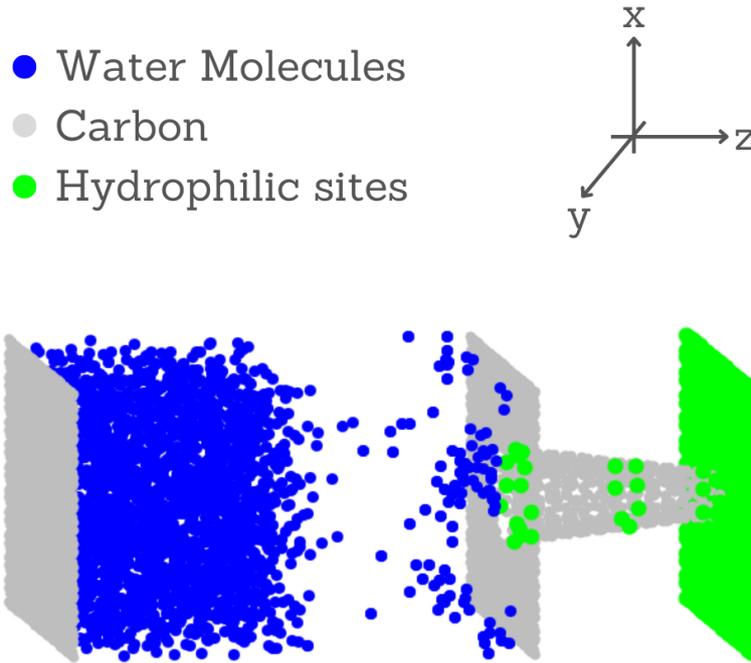
79 In this work we investigate the process of capturing and collecting water by a functionalized carbon  
80 nanocone using molecular dynamic simulations. This process is analyzed for a system in which  
81 the larger diameter of the cone is in contact with a vapor reservoir, and the smaller diameter is in  
82 contact with an initially empty reservoir. As in the case of the Namibian beetle, the nanocone has  
83 hydrophilic and hydrophobic regions which combined generate the fast flow without the need of  
84 imposing pressure to the system. The remaining of the paper goes as follows. In the chapter two  
85 the model is presented and the simulation method explained. In chapter 3 the results as shown and  
86 discussed. Chapter 4 brings the conclusions.

## 87 **Model and Simulation Details**

88 The system is illustrated in Figure 1. It is composed by a conical carbon nanochannel between two  
89 slabs with length of  $50\text{\AA}$ . One slab is made of hydrophilic (green) atoms and the other slab is made  
90 of hydrophobic (carbon, gray) atoms. Both slabs are coupled to a reservoir. The hydrophobic slab  
91 is connected to a water vapor reservoir, while the hydrophilic slab is connected initially to a vac-  
92 uum reservoir. All the slabs are maintained rigid during the simulation.

93 The system shown in Figure 1 is made of a reservoir of size  $50 * 54 * 50\text{\AA}^3$ . This reservoir has two  
94 regions: a liquid water region, on the left, and a vapor water region, on the right. The number of  
95 water molecules on this simulation is 1473. The density of the vapor system is  $0.38\text{g}/\text{cm}^3$ . As the  
96 simulation is conducted on NVT ensemble, pressure is variable.

97 The condensation is produced by a combination thermostats as illustrate in Figure 2, where the  
98 liquid region is illustrated in red while the vapor region is shown in blue. The red region does not  
99 have fixed thermostat, but the temperature varies from 800K to 300K in a dynamic process every  
100 10000 temporal steps. The blue region, thermostat 2, maintains the temperature constant at 300K  
101 during all simulation. The variation of temperature in thermostat 1 is responsible for the conden-

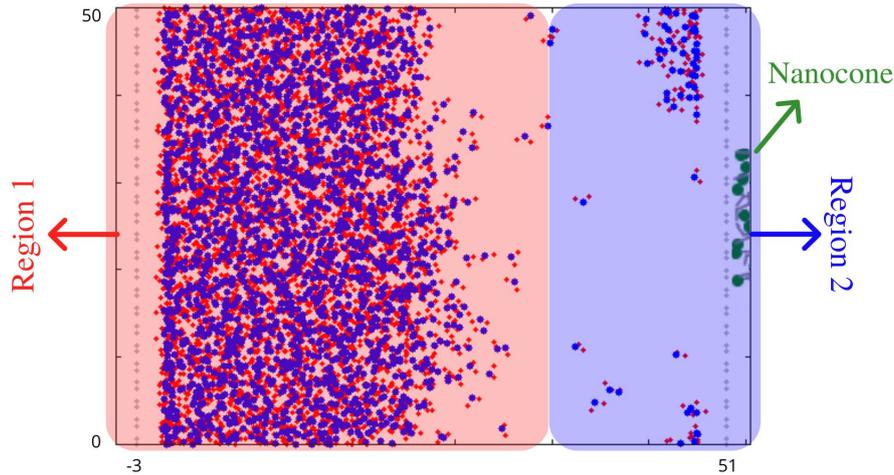


**Figure 1:** A Snapshot of the simulation system. A Liquid-Vapor reservoir in contact with a carbon slab and with the nanocone hydrophilic base. The nanocone tip is in contact with hydrophilic slab at a collecting reservoir.

102 sation at the reservoir 2. The idea of combining thermostat to produce vapor is not new, it was al-  
 103 ready employed to reproduce water evaporation and condensation [45,46].

104 In contact with the carbon slab on the region 1 there is a carbon nanocone (CNC), constructed by  
 105 cutting the apex angle as illustrated in Figure 3. This nanocone has a length of  $26\text{\AA}$ , the smaller  
 106 pore, the tip, has a diameter of  $8.2\text{\AA}$ , and the larger pore, the base, has a diameter of  $17\text{\AA}$ . Along  
 107 the CNC there are three rings-shaped regions with hydrophilic sites; at the base, at the middle and  
 108 at the tip. The hydrophilic rings are modeled as effective water-wall potentials  $\epsilon_r$ . The CNC and  
 109 the sheets were held fixed during the simulations, water molecules inside the nanocone are sub-  
 110 jected to a constant temperature thermostat of  $300K$ .

111 Carbon nanocones can be produced in five different apex angles [47]. Here we use the aper-



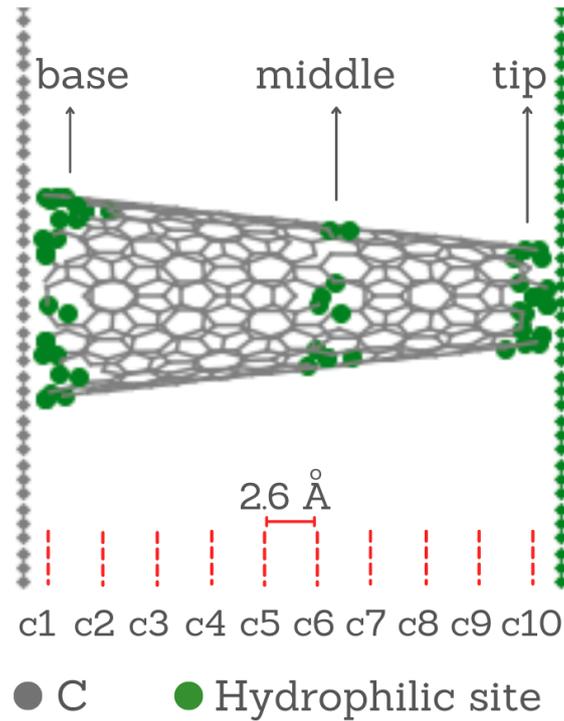
**Figure 2:** Part of the simulation box illustrating the two types of thermal control used in simulation. The red region represents the region 1, with temperature dynamically changing between 800k and 300k, and region 2 with the temperature fixed at 300k.

112 ture of  $19.2^\circ$  since it is the easier to produce in large scale [48], and because it is the nanocone  
 113 which achieves the higher values of water flux when compared with the others apex angles. It also  
 114 presents a lower energy barrier when compared with carbon nanotubes (CNT) [39].

115 The smaller size of the nanocone ends in a hydrophilic surface, which has the same structure of the  
 116 hydrophobic slab. This hydrophilic surface compose the collector reservoir, which has no water  
 117 at the beginning of the simulation. The dimensions of this reservoir are  $50 * 20 * 50 \text{ \AA}^3$ . The wa-  
 118 ter molecules collected by this reservoir are maintained under a thermostat with a temperature of  
 119  $300\text{K}$ , as the region 2 (fig. 2).

120 The Molecular Dynamics (MD) simulations were performed using LAMMPS [49] package in NVT  
 121 ensemble with a timestep of 0.1 fs. The TIP4P/2005 [50] water model was used, since this model  
 122 give a satisfactory description of the self-diffusion coefficient [51], phase diagram, vapor-liquid  
 123 equilibria [52][53], vapor-pressure and critical temperature despite being a simple model [15][54].

124 The SHAKE algorithm was employed to keep the rigidity of water molecules. The carbon-oxygen  
 125 Lennard-Jones (LJ) pair-wise non-bonded interaction,  $\epsilon_{o-c} = 0.126\text{kcal/mol}$  and  $\sigma_{o-c} = 3.279\text{ \AA}$ ,  
 126 was calculated using the Lorentz-Berthelot mixing rules [55]. For the interaction between hy-  
 127 drophilic sites and water, the same  $\sigma$  of carbon-oxygen interaction was fixed ( $\sigma_{o-hs} = \sigma_{o-c}$ ), but  
 128 the potential well  $\epsilon_{o-hs} = \epsilon_i$  was varied. The LJ cutoff distance was  $12\text{ \AA}$  and the long-range electro-



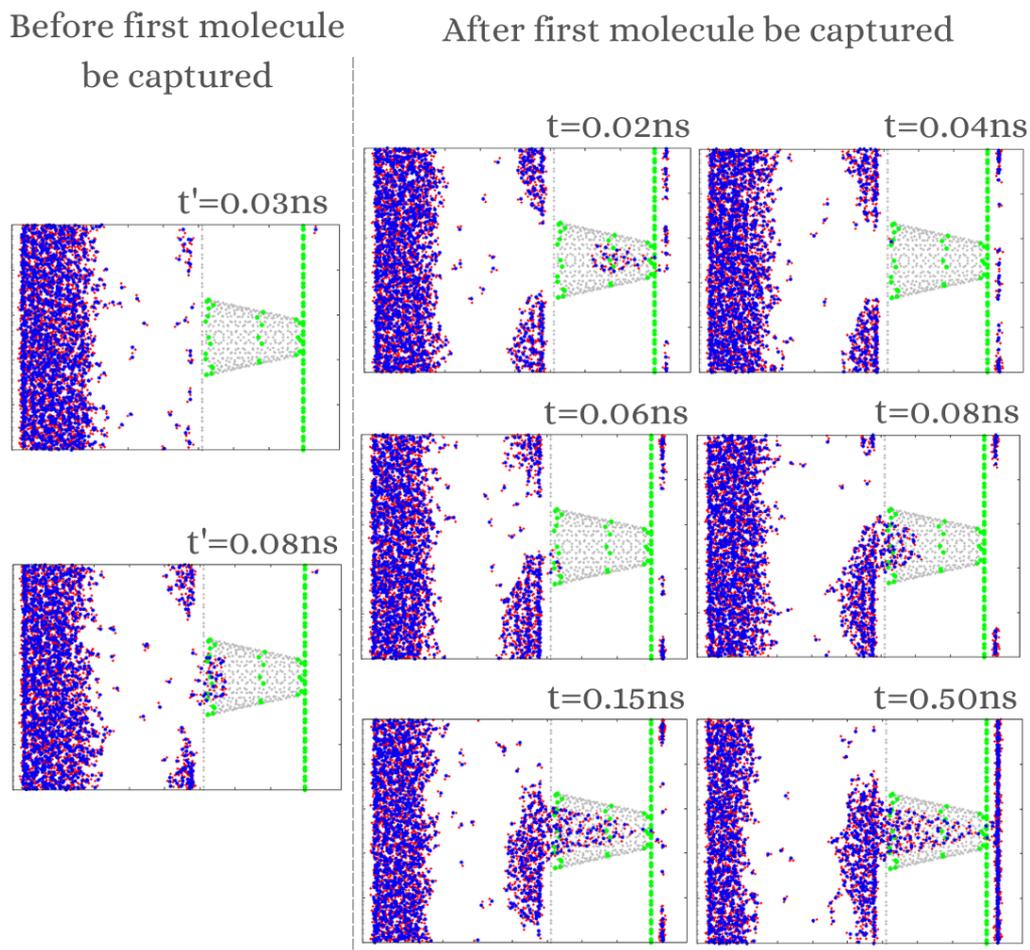
**Figure 3:** Carbon Nanocone (CNC) with  $26\text{\AA}$  of length,  $8.2\text{\AA}$  of diameter of the base and  $17\text{\AA}$  of diameter at the tip. Hydrophilic rings are present at the base, tip and at the middle of the nanocone

129 static interaction were treated by the Particle Particle Mesh Method. Periodic boundary conditions  
 130 was applied on the x and y directions, and non-periodic boundary conditions was applied on z di-  
 131 rection (see Figure 1).

## 132 Results and Discussion

133 Figure 1 illustrates the system we analyzed composed of a water vapor reservoir in contact with the  
 134 base of the nanocone. If the nanocone is fully hydrophobic no water enters into the cone. Therefore  
 135 the hydrophilic rings are necessary for the water to enter and flow through the nanocone.

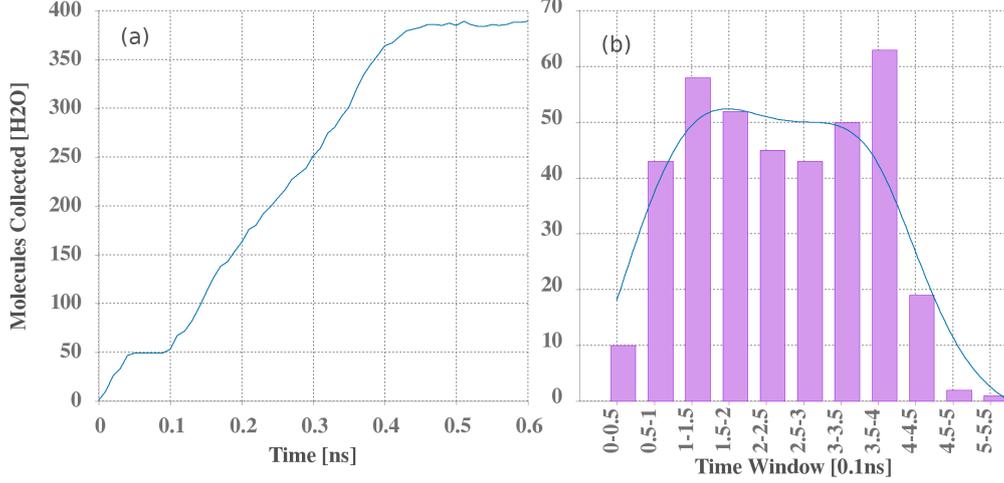
136 The water harvesting mechanism goes as follows: First, the vapor generated in region 1 from Fig-  
 137 ure 2 condenses into the slab of region 2 forming droplets. Those droplets are attracted to the hy-



**Figure 4:** Snapshots of the temporal evolution for vapor system using  $\epsilon_i = 1.1$ .

138 drophilic sites of the nanocone as shown in Figure 4. Eventually, the droplets are moved from the  
 139 middle and then to the tip of the nanocone due to the combination of hydrophilic and hydrophobic  
 140 sites. Without them the droplet is stuck only at the base of the nanochannel. After an initial period  
 141 (see  $t = 0.15\text{ns}$  in Figure 4), only a large droplet remains being absorbed by the nanocone, this drop  
 142 is fed by the vapor forming a continuous flow of molecules that reach from the base to the tip of  
 143 the nanocone, crossing to the collecting reservoir. This process stops once the collecting slab be-  
 144 comes full. The time it takes for this to happen, after first molecule be captured, depends on initial  
 145 conditions, but it varies from  $0.3 - 0.5[\text{ns}]$ .

146 The number of collected molecules and the histogram versus time for the vapor reservoir system  
 147 are presented in Figure 5(a) and in Figure 5(b), respectively. Both graphs were obtained for one



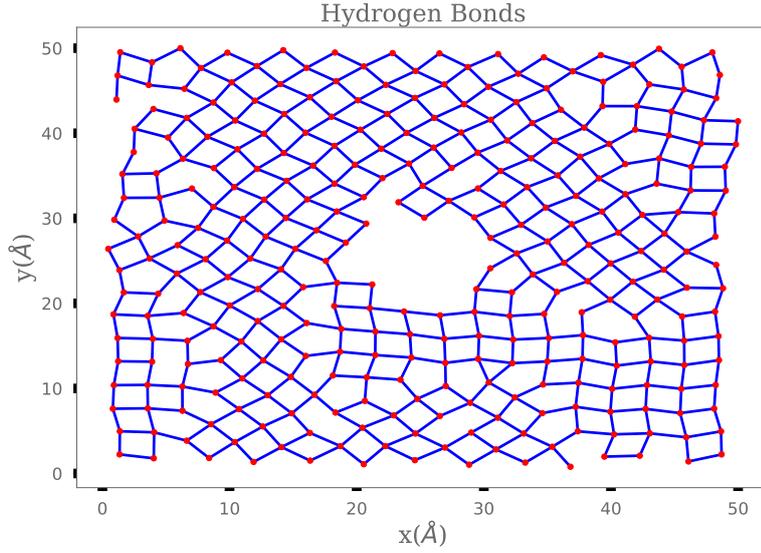
**Figure 5:** (a) Number and (b) Histogram of collected water molecules versus (a) time and (b) time intervals.

148 sample. The water harvesting (time interval 0.1 – 0.4 ns) presents a linear growth, let's call it as  
 149 Linear Regime. This regime is achieved when a large droplet is formed at the base of the nanocone,  
 150 as described above, entering into the nanocone and forming a cohesive dynamics.

151 Figure 6 shows a snapshot of the water molecules on the hydrophilic slab of the collector reser-  
 152 voir, after the flow ceases and the number of water molecules in the reservoir becomes constant.  
 153 Note that this molecules and its hydrogen bonds are arranged in a crystal-like arrangement. Fig-  
 154 ure 7 shows the radial distribution function, which is characteristics of an ordered structure in two  
 155 dimensions. Figure 7(b) illustrates the mean square displacement of the water molecules on the  
 156 collecting slab, indicating very small and constant mobility, confirming the ice-like behavior.

157 What does happen with the system when the water hydrophilic interactions with the surfaces are  
 158 increased? In order to answer this question, Figure 8 illustrates the number of collected molecules  
 159 versus time for different values of the water-wall attraction  $\epsilon_r = 0.80, 0.95, 1.1, 1.3$  and  $1.5$ . Each  
 160 line is averaged over five samples. Note that the slopes of lines for fixed  $\epsilon_r$  present a non monotonic  
 161 behavior with  $\epsilon_r$ . In order to understand the impact of varying attraction, we calculated the mean  
 162 collected rate of molecules (MCR) per unit of time ( $10^{-2}$ ns) using

$$163 \quad MCR = \sum_{i=0}^{i=t_{tot}} \frac{(Nm_i - Nm_{i-1})}{t_{tot}} . \quad (1)$$



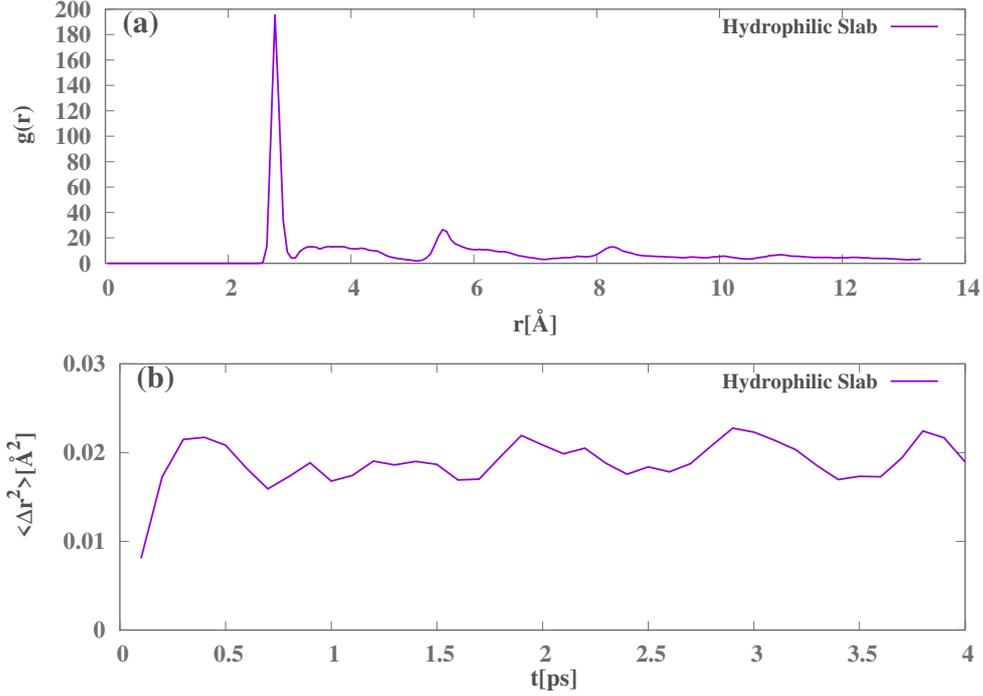
**Figure 6:** A snapshot of the water molecules (red points) on the attractive slab and hydrogen bonds (blue lines) in  $t = 0.5\text{ns}$ . The central region is where the nanocone is fitted, for this we did not plot the molecules on it. The hydrogen bonds were calculated using the distance and angles between water molecules.

164 Figure 8 (b) shows MCR of molecules versus  $\epsilon_r$ . For values of  $\epsilon_r$  below a certain threshold, the  
 165 MCR increases with the increase of  $\epsilon_r$ . The molecules movement in the nanocone depends on the  
 166 combination of hydrophilic sites attracting and hydrophobic sites repulsion. Enhancing the hy-  
 167 drophilic attraction increases the number of water molecules attracted o the base of the nanocone.  
 168 For values  $\epsilon_r$  beyond a certain threshold, however, the MCR decreases. In this case, the rings  
 169 are too attractive and water molecules tend to be stuck at the ring. The maximum rate occurs for  
 170  $\epsilon_r \approx 1.1$ .

171 In order to understand how  $\epsilon_r$  impacts the water movement, we compute the flow. As a conical ob-  
 172 ject diameter varies with length, so axial flux of molecules also varies from point to point in the  
 173 cone. Therefore, we selected 10 regions equally spaced along the nanocone as shown in Figure 1  
 174 and we calculated the flux at each segment using the expression

$$175 \quad J_i = \frac{n_{lrt} - n_{rtl}}{A_i N_{steps} \delta t} \quad (2)$$

176 where  $n_{lrt}$  is the number of molecules that cross a region of the nanontube from left to right, and



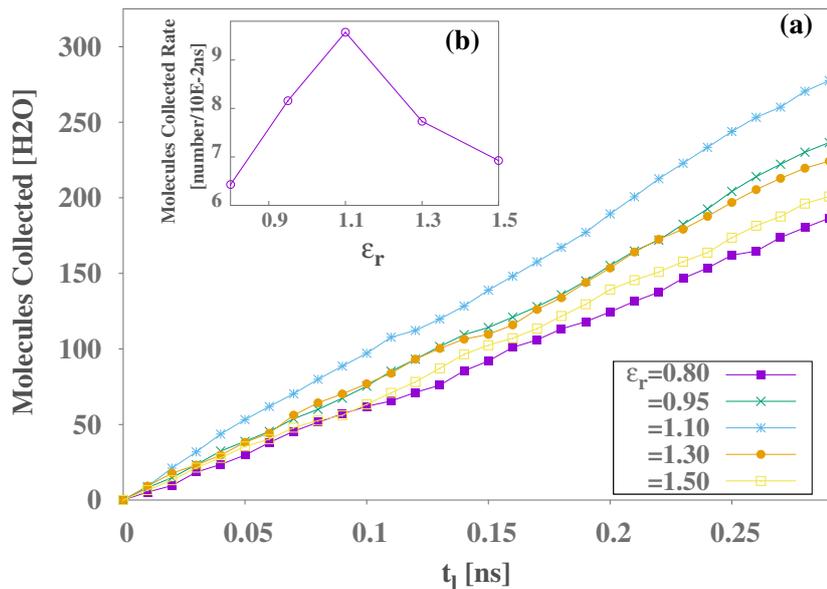
**Figure 7:** (a) The Radial Distribution Function and the (b) Mean Square Displacement of the water molecules on the attractive slab at  $t = 0.5\text{ns}$  and  $\epsilon_r = 1.1$ .

177  $n_{r|t}$  from right to left. The  $A_i = \pi a_{i,eff}^2$  is the area of the region  $i$  with radius  $a_i$ , and  $a_{i,eff} =$   
 178  $a_i - \sigma/2$  is the effective radius available for water  $\sigma = 3.1589$ .  $N_{steps} = 10E4$  is the total number  
 179 of steps used to calculate the flux, and  $\delta t = 0.1\text{fs}$  is the timestep.

180 Figure 9 shows the flux,  $J_i$ , as a function of the region (length)  $c_i$  (Figure 3) of the nanocone for  
 181 different values of attraction  $\epsilon_r$ . Each value was averaged over five samples with  $8 \times 10E5\text{fs}$ .

182 This graph confirms the behavior observed in Figure 8 of the increase of water mobility with the  
 183 increase of  $\epsilon_r$  up to  $\epsilon_r = 1.1$ , and the decrease of  $J_i$  for  $\epsilon_r > 1.1$ . In addition Figure 9 shows  
 184 the increase in  $J_i$  with the decrease of the diameter for the lower values of the hydrophobicity,  
 185  $\epsilon_r = 0.80, 0.95, 1.1$ .

186 For  $\epsilon_r > 1.1$  a non monotonic behavior is observed. The decrease in flux with decreasing diameter  
 187 for  $c_8, c_9, c_{10}$  and  $\epsilon_r = 1.30, 1.50$  is a consequence of the high attraction of water molecules by the  
 188 hydrophilic ring in the middle of the nanocone. The increase of the flux with the decrease of the  
 189 radius is also observed with in carbon nanotubes [56]. The increase of flux followed by a decrease



**Figure 8:** (a) Number of collected molecules versus time (ns) for different  $\epsilon_r$ . (b) Mean collected rate (MCR) versus ( $\epsilon_r$ ).

190 with the increase of the hydrophobicity was also observed in transport properties of nanotubes with  
 191 tunable hydrophilic sites [57,58].

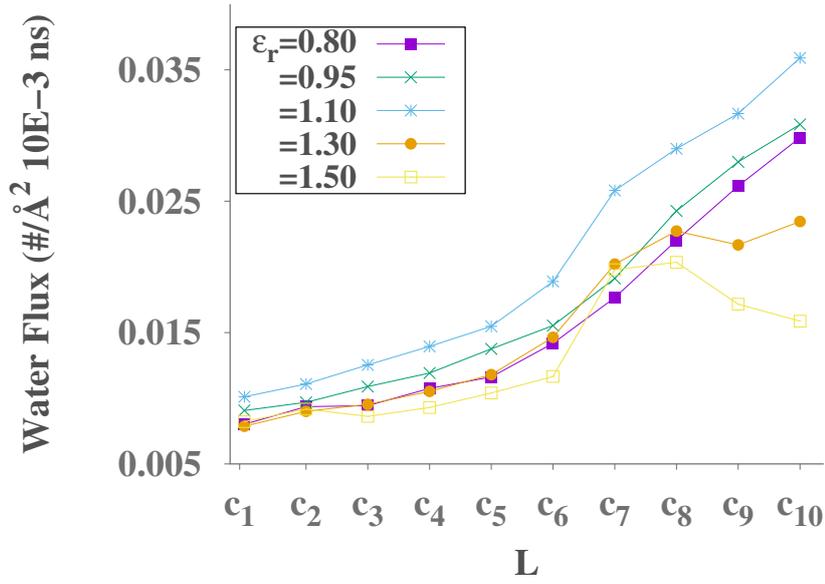
## 192 Conclusions

193 Molecular dynamics simulations were conducted to study the water harvesting using the combina-  
 194 tion hydrophobic/hydrophilic sites on carbon nanocones in contact with a vapor water reservoir.

195 The nanocone was constructed modeling three ring shaped hydrophilic regions. Differently from  
 196 the simulations and experiments with water flow in nanotubes, no external pressure was applied.

197 First, we observed that without the hydrophilic sites, no water enters the nanocone. Next, hy-  
 198 drophilic rings were introduced in the nanocone and different hydrophilic strength of the rings were  
 199 explored.

200 The water dynamics is governed by the formation of droplets outside the nanocone and it presents  
 201 a combination of regimes. First, droplets condense on the slab surface. Then, this droplets are at-  
 202 tracted by the hydrophilic base of the nanocone, forming a larger drop, that enters into the cone



**Figure 9:** Graph of water flux in the different regions of the nanocone (Figure 3), for the different values of potential well  $\epsilon_r$ .

203 generating a steady flux to the nanocone tip and reaching the collecting slab. This flow is generated  
 204 by the combination of hydrophilic and hydrophobic sites.

205 The flow only stops once the collecting slab becomes full. The collecting slab is hydrophilic, at-  
 206 tracting water molecules which becomes organized at the collecting slab surface. Water molecules  
 207 on this slab form a very ordered structure, which freezes the water once the hydrophilic slab is  
 208 completely filled. So the flow is interrupted even the collector being under thermostat with a tem-  
 209 perature of 300k. An alternative to keep the flow of water would be to continuously remove water  
 210 molecules from the collecting slab.

211 The strength  $\epsilon_r$  of the hydrophilic sites affects the water collection and water mobility in two ways.  
 212 Increasing  $\epsilon_r$  pushes more droplets to the nanocone, but if  $\epsilon_r$  is too large water molecules become  
 213 trapped at the hydrophilic regions, decreasing water mobility.

214 Then, we can suggest the nanocone as an alternative to collect water from vapor without the use  
 215 of high pressures if the nanocone would be a combination of hydrophobic and hydrophilic regions  
 216 with an optimized  $\epsilon_r$ .

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