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# Towards an Asymmetric $\beta$ -Selective Addition of Azlactones to Allenates

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# Equal contribution (in alphabetic order)

## Abstract

We herein report the asymmetric organocatalytic addition of azlactones to allenates. Upon using chiral quaternary ammonium salt catalysts, i.e. Maruoka's binaphthyl-based spirocyclic ammonium salts, the addition of various azlactones to allenates proceeds in a  $\beta$ -selective manner with moderate levels of enantioselectivities (up to 83:17 e.r.). Furthermore, the obtained products can be successfully engaged in nucleophilic ring opening reactions, thus giving highly functionalized  $\alpha$ -amino acid derivatives.

## Keywords

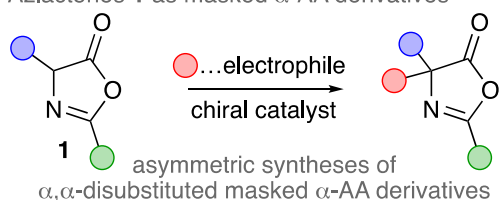
organocatalysis; quaternary ammonium salt catalysis; azlactones; allenates; amino acids

# Introduction

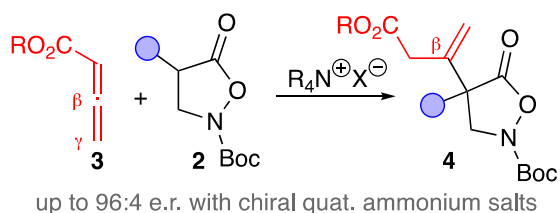
The development of asymmetric syntheses routes to access non-natural amino acids has for decades been one of the most heavily investigated tasks in organic synthesis and catalysis-oriented research [1-13]. As a consequence, a broad variety of conceptually orthogonal strategies to access differently functionalized non-natural  $\alpha$ -amino acids ( $\alpha$ -AA) [2-7] as well as  $\beta$ -amino acids ( $\beta$ -AA) [8-13] have been introduced and there is still considerable interest in the development of new concepts and syntheses approaches. Our group has a longstanding focus on the development of asymmetric organocatalytic methods to access non-natural chiral  $\alpha$ - and  $\beta$ -AA [14-19]. Hereby we are especially interested in utilizing simple (prochiral) starting materials and carry out stereoselective  $\alpha$ -functionalizations by reacting them with suited C- or heteroatom electrophiles.  $\alpha$ -Amino acid-derived azlactones **1** are amongst the most commonly utilized starting materials to access more diverse chiral  $\alpha,\alpha$ -disubstituted amino acids (Scheme 1A) [20-22]. More specifically, these compounds can be engaged for a variety of asymmetric  $\alpha$ -carbo- and  $\alpha$ -heterofunctionalization reactions by utilizing different catalysis strategies [20-22]. We have recently carried out systematic investigations concerning the syntheses of advanced  $\beta$ -AA by means of asymmetric  $\alpha$ -carbofunctionalization reactions and during these studies we also realized that the masked  $\beta$ -AA derivatives **2** undergo enantioselective  $\beta$ -addition to allenoates **3** under chiral ammonium salt catalysis (Scheme 1B) [18]. Interestingly, hereby we also found that the use of alternative catalyst systems (i.e. tert. phosphines) allows for a  $\gamma$ -selective addition of **2** to the allenoate instead, thus resulting in two complementary catalyst-controlled pathways [18]. Based on these previous results, and also the well-documented different reactivity trends of allenoates **3** when using

different organocatalysts and activation modes [23-27], we were thus wondering if we could extend this ammonium salt catalyzed  $\beta$ -selective allenolate functionalization strategy to other amino acid classes. Azlactones **1** have previously been used for  $\gamma$ -selective additions to allenates under chiral phosphine catalysis [28]. In addition, glycine Schiff base derivatives [29] as well as  $\alpha$ -amino acid based thiazol-ones [30] have successfully been used for asymmetric  $\beta$ -selective additions to allenates when using chiral ammonium salt catalysts or chiral organobase catalysts. However, to the best of our knowledge the  $\beta$ -selective asymmetric addition of azlactones **1** to allenates **3** delivering highly functionalized  $\alpha,\alpha$ -disubstituted  $\alpha$ -amino acid derivatives **5** has so far not been systematically addressed (for recent other  $\beta$ -selective additions of enolate precursors to allenates please see Ref. [31-34]). Thus, we now became interested in testing this transformation under asymmetric ammonium salt catalysis [35-38] and the results of these investigations are outlined in this contribution (Scheme 1C).

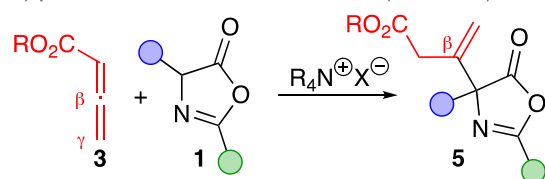
A) Azlactones **1** as masked  $\alpha$ -AA derivatives ———



B)  $\beta$ -Selective add. of masked  $\beta$ -AA **2** to allenates—



C)  $\beta$ -Select. add. of **1** to allenates (*this work*) ———



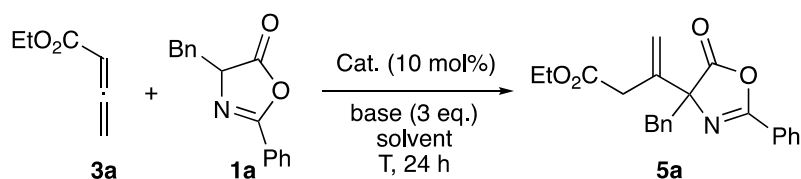
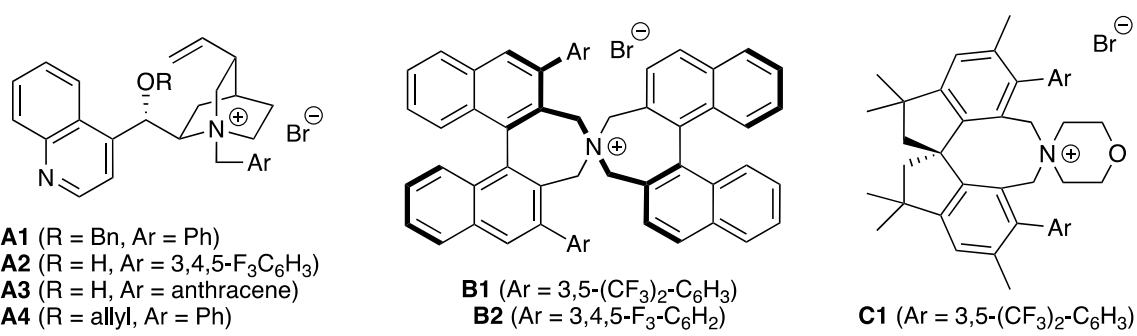
**Scheme 1:** General use of azlactones **1** to access more advanced  $\alpha$ -AA derivatives (A), our recently reported ammonium salt-catalyzed  $\beta$ -selective addition of compounds **2** to allenates **3** (B), and the herein investigated  $\beta$ -selective addition of azlactones **1** to allenates **3** (C).

## Results and Discussion

We started our investigations by testing the quat. ammonium salt-catalyzed addition of azlactone **1a** to allenate **3a** (Table 1 gives an overview of the most significant results obtained hereby). First experiments using Cinchona alkaloid-based quat. ammonium salts **A** showed that the expected  $\beta$ -addition product **5a** can be accessed under typical phase-transfer conditions, but with low selectivities and yields only when using these catalysts (entries 1-4, other Cinchona derivatives were tested too but did not allow for any improvement). Using the established and commercially available Maruoka catalysts **B1** and **B2** [39] next turned out to be more promising (entries 5-10). Testing the bis-CF<sub>3</sub>-substituted **B1** first allowed for 75:25 e.r., but with moderate yield only when carrying out the reaction in toluene in the presence of 3 eq. of K<sub>2</sub>CO<sub>3</sub> (entry 5). Lower amounts of base (entry 6) or other solvents, as exemplified for CH<sub>2</sub>Cl<sub>2</sub> (entry 7, similar non-selective results were obtained when using THF), were found to be less-suited however. Testing the 3,4,5-trifluorobenzene-decorated catalyst **B2** with K<sub>2</sub>CO<sub>3</sub> in toluene next (entry 8) allowed for a slightly higher selectivity but still gave a relatively low yield only. Spirobiindane-based salts **C** emerged as promising alternative quaternary ammonium salt scaffolds recently [40,41] and were also the catalysts of choice in our recently developed  $\beta$ -selective allenate addition of isoxazolidinones **2** (compare with Scheme 1B [18]). Unfortunately, these catalysts were found to be less-suited for our azlactone protocol, as exemplified for derivative **C1** (entry 9).

Accordingly, we carried out our final optimization using Maruoka's catalyst **B2** (entries 10-14). By testing different bases and lower temperatures as well as lower catalyst loadings we identified the use of 3 eq. Cs<sub>2</sub>CO<sub>3</sub> in toluene (0.05 M) at room temperature as the best-suited conditions (entry 13), allowing for the synthesis of **5a** in moderate yield (61%) and enantioselectivity (81:19 e.r.).

**Table 1:** Optimization of the addition of azlactone **1a** to allenolate **3a**<sup>a</sup>.



Entry	Cat.	Base	solvent	T [°C]	Yield <sup>b</sup>	e.r. <sup>c</sup>
1	<b>A1</b>	K <sub>2</sub> CO <sub>3</sub>	toluene	25	41	58:42
2	<b>A2</b>	K <sub>2</sub> CO <sub>3</sub>	toluene	25	45	60:40
3	<b>A3</b>	K <sub>2</sub> CO <sub>3</sub>	toluene	25	40	58:42
4	<b>A4</b>	K <sub>2</sub> CO <sub>3</sub>	toluene	25	45	60:40
5	<b>B1</b>	K <sub>2</sub> CO <sub>3</sub>	toluene	25	55	75:25
6	<b>B1</b>	K <sub>2</sub> CO <sub>3</sub> (1 eq.)	toluene	25	20	72:28
7	<b>B1</b>	K <sub>2</sub> CO <sub>3</sub>	CH <sub>2</sub> Cl <sub>2</sub>	25	33	51:49
8	<b>B2</b>	K <sub>2</sub> CO <sub>3</sub>	toluene	25	50	80:20
9	<b>C1</b>	K <sub>2</sub> CO <sub>3</sub>	toluene	25	40	68:32

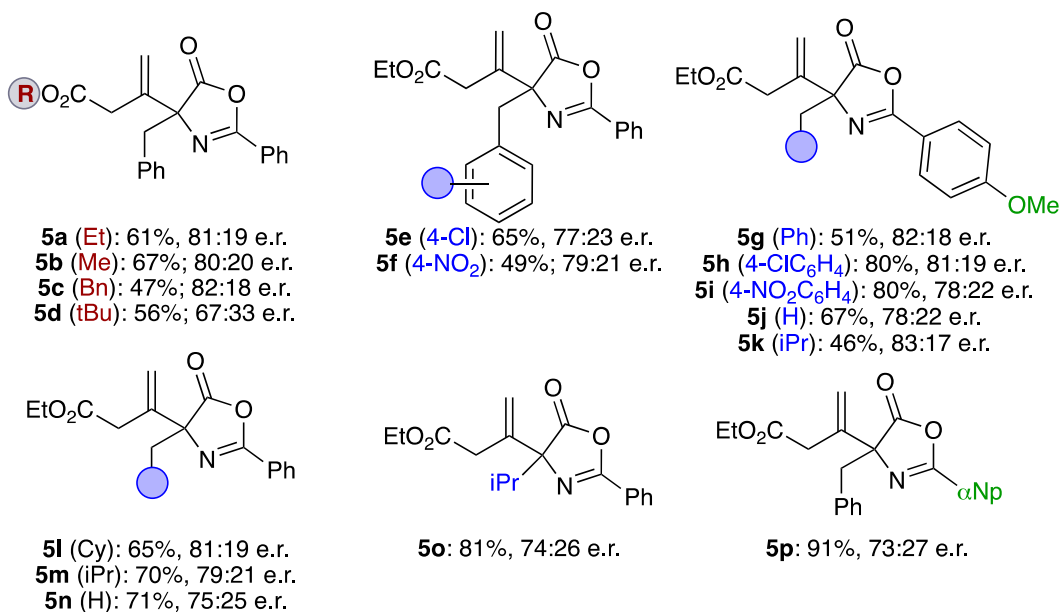
10	<b>B2</b>	K <sub>2</sub> CO <sub>3</sub>	toluene	0	45	80:20
11	<b>B2</b> (5%)	K <sub>2</sub> CO <sub>3</sub>	toluene	0	41	77:23
12	<b>B2</b>	K <sub>3</sub> PO <sub>4</sub>	toluene	25	55	81:19
13	<b>B2</b>	Cs <sub>2</sub> CO <sub>3</sub>	toluene	25	61	81:19
14	<b>B2</b>	Cs <sub>2</sub> CO <sub>3</sub>	toluene (0.1 M)	25	75	73:27

<sup>a</sup> Unless otherwise stated, all reactions were carried out by stirring **1a** (0.1 mmol), the allenoate (2 eq.), the indicated base and the catalyst, in the given solvent (0.05 M based on **1a**) at the given T for 24 h.

<sup>b</sup> Isolated yield.

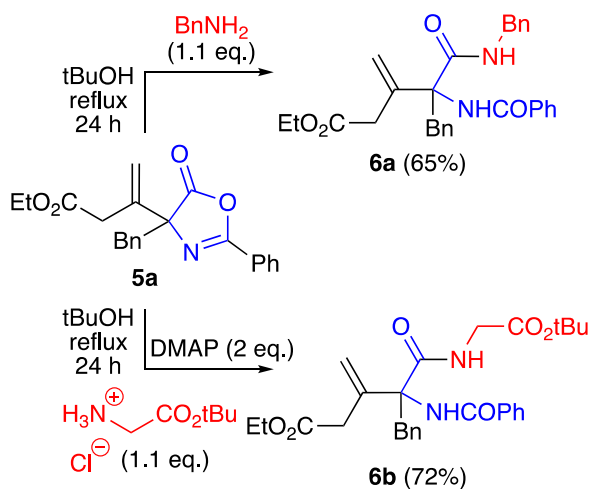
<sup>c</sup> Determined by HPLC using a chiral stationary phase ((-)-**5a** was obtained as the major enantiomer when using the (*R,R*)-configured catalysts **B**).

With optimized conditions for the synthesis of enantioenriched (-)-**5a** at hand, we next investigated the generality of this protocol. As outlined in Scheme 2, differently substituted allenoates were reasonably well tolerated (see products **5a-d**), albeit some erosion in enantioselectivity was observed when using a *t*-butyl ester containing allenoate (product **5d**). Various  $\alpha$ -arylmethyl-substituted azlactones **1** performed similarly as compared to the parent system **1a** (products **5e-l**), and analogous  $\alpha$ -alkyl-substituted derivatives were reasonably well accepted too (**5j-o**). When varying the aryl substituent in position **2** of the oxazolone core (compare products **5a**, **5g**, and **5p**) we found that increasing the steric bulk (**5p**) leads to a somewhat lower enantioselectivity, while the methoxy-substituent does not have a strong impact on the yield. It should however be stated that some of the methoxy-containing products, i.e. the  $\alpha$ -alkyl-substituted **5j** and **5k** tend to undergo partial nucleophilic ring opening by residual water during column chromatography.



**Scheme 2:** Application scope (conditions as detailed in entry 13, Table 1).

Finally, we also tested the suitability of products **5** to access acyclic  $\alpha$ -AA derivatives by means of nucleophilic azlactone-opening reactions. Gratifyingly primary amines can be easily utilized under reflux conditions to access the amide derivatives **6a** and **6b** straightforwardly (Scheme 3), thus demonstrating the versatility of compounds **5** to access more complex acyclic  $\alpha$ -AA derivatives in a straightforward manner.



**Scheme 3:** Azlactone opening reactions.



## Conclusion

The development of novel catalytic methods for the asymmetric synthesis of non-natural amino acid derivatives is a contemporary task and we herein introduce an organocatalytic protocol for the  $\beta$ -selective addition of various azlactones **1** to allenates **3**. Upon using Maruoka's spirocyclic binaphthyl-based quaternary ammonium salts **B** as catalysts this transformation can be achieved with enantioselectivities up to 83:17 e.r.. Furthermore, the herein accessed cyclic products **5** could be successfully engaged in ring-opening reactions with different amines, thus giving access to the acyclic  $\alpha$ -amino acid-based amides **6** straightforwardly.

## Experimental

### General details

$^1\text{H}$ -,  $^{13}\text{C}$ - spectra were recorded on a Bruker Avance III 300 MHz spectrometer with a broad band observe probe. All NMR spectra were referenced on the solvent residual peak ( $\text{CDCl}_3$ :  $\delta$  7.26 ppm for  $^1\text{H}$  NMR and  $\delta$  77.16 ppm for  $^{13}\text{C}$  NMR). NMR data are reported as follows: chemical shift ( $\delta$  ppm), multiplicity (s = singlet, d = doublet, t = triplet, q = quartet, m = multiplet, dd = doublet of doublet), coupling constants (Hz).

High resolution mass spectra were obtained using a Thermo Fisher Scientific LTQ Orbitrap XL with an Ion Max API Source and analyses were made in the positive ionization mode if not otherwise stated.

HPLC was performed using a Shimadzu Prominence system with a diode array detector with a CHIRALPAK AD-H, CHIRAL ART Amylose-SA, (250  $\times$  4.6 mm, 5  $\mu\text{m}$ ) chiral stationary phase. Optical rotations were recorded on a Schmidt + Haensch Polarimeter Model UniPol L1000 at 589 nm ( $[\alpha]_D$  values are listed in  $\text{deg}/(\text{dm}(\text{g}/\text{cm}^3))$ ; concentration  $c$  is given in  $\text{g}/100 \text{ mL}$ ).

Unless otherwise stated, all chemicals were purchased from commercial suppliers and used without further purification. Dry solvents were obtained from an MBraun-SPS-800 solvent purification system. All reactions were carried out under argon atmosphere unless stated otherwise.

Azlactones **1** and allenates **3** were synthesized according to previously published procedures [18,42-44].

### General Procedure

An oven-dried Schlenk tube equipped with a stirring bar was charged with the azlactone **1** (0.05 – 0.1 mmol), catalyst **B2** (10 mol% related to **1**), and Cs<sub>2</sub>CO<sub>3</sub> (3 eq.). Then the respective allenate **3** (2 eq.) and toluene (0.05 M with respect to **1**) were added and the mixture was stirred at room temperature for 24 h (Ar atmosphere). The crude product was passed through a short column of silicagel (rinsed with DCM and EtOAc), concentrated under reduced pressure, and subsequently purified by preparative TLC (silica gel, heptanes/EtOAc = 4/1) to obtain the products **2** in the given yields and enantiopurities.

**Details for the parent compound 5a** (details for the other targets can be found in the online supporting information)

Obtained as a colorless oil in 61% yield (81:19 e.r.) on 0.1 mmol scale.  $[\alpha]_{D}^{22} = -11.4$  (c 1.1, CHCl<sub>3</sub>); <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>, 298.0 K):  $\delta$  / ppm = 7.85 (2H, dd,  $J = 8.6, 1.4$  Hz), 7.54 (1H, t,  $J = 7.4$  Hz), 7.43 (2H, t,  $J = 7.53$  Hz), 7.24-7.11 (5H, m), 5.79 (1H, s), 5.37 (1H, s), 4.14-3.90 (2H, m), 3.52-3.16 (4H, m), 1.15 (3H, t,  $J = 7.1$  Hz); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>, 298.0 K):  $\delta$  / ppm = 177.4, 171.0, 160.3, 139.1, 133.8, 132.6, 130.5, 128.6, 128.0, 127.8, 127.3, 125.6, 118.1, 75.9, 60.9, 44.9, 39.3, 13.9; HRMS (ESI)  $m/z$ : calculated for [C<sub>22</sub>H<sub>21</sub>NO<sub>4</sub> + H]<sup>+</sup>: 364.1543; found: 364.1554, HPLC: (Chiralpak SA,

eluent: n-hexane:i-PrOH = 100/2, 0.5 mL·min<sup>-1</sup>, 20 °C,  $\lambda$  = 254 nm) retention times:  
 $t_{\text{major}} = 16.15$  min ,  $t_{\text{minor}} = 17.00$  min.

## Supporting Information

Full experimental and analytical details and copies of NMR spectra and HPLC traces can be found in the online supporting information.

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